A Common Declarative Language for UML State Machine Representation, Model Transformation, and Interoperability of Visualization Tools

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# Motivation

State Machines Widely used in the field of Software Engineering, including System Modeling, Requirements Specification, Software Testing, etc.

Commercial: IBM Rational Rhapsody, The MathWorks Stateflow, ... Text-based / Open-source: PlantUML, Mermaid, ...

Declarative and Queryable

Industry

support

Prolog seems to be a good fit to be used as the declarative language for UML representation

# Related Work

Sheng et al. [2019] present a Prolog-based consistency checking for UML class and object diagrams.

□ Khai et al. [2011] propose a Prolog-based approach for consistency checking of class and sequence diagrams.

Mens et al. [2020] introduce a technique to improve statechart design by a modular Python library, Sismic.

□ Mierlo and Vangheluwe [2019] a present approach for modeling, simulating, testing, and deploying statecharts.

Balasubramanian et al. [2013] introduce Polyglot, a comprehensive framework for analyzing models described using multiple statechart formalisms.

□ E. V. and Samuel [2019] describe a technique to transform hierarchical, concurrent, and history states into Java code using a design pattern-based methodology.

# The Common Declarative Language (CDL) as a Platform



# Introduction and Background

- Originally introduced by Gill (1962) and later proposed by Harel in 1984 as an extension over traditional (deterministic) finite state machines
- A statechart is a formalism to model the dynamic behavior of a component at any level of abstraction
- Implemented as Higraph to extend mathematical graphs by including notions of depth and orthogonality.
- Statecharts = state diagrams + depth + orthogonality + broadcast
  - depth / Hierarchy (XOR)
  - orthogonality concurrency (AND)
  - broadcast (events visibility), application in reactive systems
- UML 2.5.1
  - providing numerous complex features, such as composite and nested states; entry and exit pseudostates; entry, exit, and do state behaviors; implicit region completion transition.
- Major Incompatibilities
  - EFSM does not support state behaviors, composite states, and pseudostates.
  - In UML, completion events are not explicitly defined.



# State transition examples

• A transition between states with behavior



# State transition examples



(a) completion(b) completion byby substatesub-regions

Various types of completion events



### Modified EFSM

#### 2.1 A Modified Definition of an Extended Finite State Machine

We define an EFSM M, as a 7-tuple  $\langle Q, \Sigma_1, \Sigma_2, q_0, V, \Gamma, \rangle$ , where Q is a finite set of states.  $\Sigma_1 = \{e_i : i \in \mathbb{Z}\}$ , is a non-empty finite set of events.  $\Sigma_2 = \{a_i : i \in \mathbb{Z}\}$ , is a finite set of actions.  $q_0 \in Q$ , is the initial state.  $V = \{v_i : i \in \mathbb{Z}\}$ , is a finite set of mutable global variables.  $\Gamma = \{g_i : i \in \mathbb{Z}\}$ , is a finite set of guards.  $\Lambda = \{\lambda : q \xrightarrow{e_i[g_j]/a_k} q', \text{ where } i, j, k \in \mathbb{Z}\}$ , is a finite set of deterministic transitions defined on  $Q \times (\{\epsilon\} \cup \Sigma_1) \times 2^{\Gamma} \to Q \times \Sigma_2^*$ , where  $\epsilon$  denotes null,  $q, q' \in Q, e_i \in \{\epsilon\} \cup \Sigma_1, g_j \subseteq \Gamma$ , is a set of guards, and  $a_k \in \Sigma_2^*$  (the Kleene closure of  $\Sigma_2$ ), is a sequence of actions.

A guarded  $\epsilon$ -transition is represented by  $\lambda : q \xrightarrow{\epsilon[g_j]/a_k} q'$ .

## EFSM in action



Sequence of  $\epsilon$ -transitions in an EFSM



Guarded  $\epsilon$ -transitions, modeling a choice pseudostate



An equivalent EFSM, demonstrating state behaviors



Fig. 1: A sample case-study representing complex UML features.

# The Case Study

- Major Features:
- 3 levels of nestedness
- Complex nested state behavior
- Internal and external transitions
- Entry and exit pseudostates
- Various events types including implicit completion events

# Detailed Case Study Coverage

UML Feature	Coverage in case study			
composite state	active, emergency (nested)			
entry behaviour	considered for both simple and composite states in <i>active</i> , <i>configuring</i>			
exit behaviour	considered for both simple and composite states in <i>active</i> , <i>configuring</i> , <i>emergency</i>			
do behaviour	considered for both simple and composite states in <i>reading</i> , <i>emergency</i>			
entry point pseudostate	"skip configuring" event in <i>idle</i>			
exit point pseudostate	when "inactivity $> 2m$ " event in <i>configuring</i>			
final state (nested)	in <i>emergency</i> region			
internal transition	"set tThreshold" event in <i>configuring</i>			
call event	"shut-off", "activate", "deactivate", "skip configuring", "reset" in the highest level of FSM; "done", "set", "cancel", "reset" in <i>active</i>			
set event	"set tThreshold" in <i>configuring</i>			
time event	"after 2m" in <i>emergency</i> region			
completion event	it is covered for both cases. Case 1 is completion of do behaviours in the model. Case 2 is conclusion of <i>emergency</i> region			
timeout event	"inactivity $> 2m$ " in <i>active</i> region			
change event	"when $[tCurrent > tThreshold]$ " in <i>active</i> region			

#### Features

- Implemented in Prolog
- Queryable & Verifiable
- Extensible

#### Types

- Simple / Flat (EFSM): <u>simple states and</u> <u>transitions</u> (to be covered by core clauses)
- Complex (UML): composite states, state behaviors, and pseudostates

CLAUSE	DESCRIPTION		
state/1	<pre>state(?Name) implies that ?Name is a state.</pre>		
alias/2	alias(?Name, ?Alias) implies that ?Alias is a new name for ?Name.		
initial/1	initial(?Name) implies that ?Name is the initial state of the state		
	machine.		
final/1	final(?Name) implies that ?Name is the exit (final) state of the state		
	machine.		
event/2	event(?Type, ?Argument) indicates an event where ?Type shows		
	event type and ?Argument is a literal.		
action/2	action(?Type, ?Argument) indicates an action where ?Type shows		
	action type and ?Argument is a literal.		
transition/5	transition(?Source, ?Destination, ?Event, ?Guard, ?Action)		
	indicates that while the system is in state ?Source, should ?Event		
	occur and with ?Guard being true, the system performs a transition		
	to state ?Destination while performing ?Action.		

Table 1: Core common clause signatures for UML state machines / EFSMs.

## Model Transformation - State Machine into CDL: An example

reading	when (tCurrent >= tThreshold)/send notification	emergency
Entry: echo 'system enabled' Do: read tCurrent	reset	<u>Do</u> : make siren sound <u>Exit</u> : echo 'exit emergency'

• The clause transition/5 is codified as

transition(?Source, ?Target, ?Event, ?Guard, ?Action).

CLAUSE	DESCRIPTION		
substate/2	substate(?Superstate, ?Substate) implies that		
	?Superstate is a composite state with ?Substate be-		
	ing a nested state.		
onentry_action/2	onentry_action(?Name, ?Action) implies that ?Name de-		
	fines ?Action as an entry behavior.		
onexit_action/2	onexit_action(?Name, ?Action) implies that ?Name defines		
	?Action as an exit behavior.		
do_action/2	do_action(?Name, ?Proc) implies that ?Name defines ?Proc		
	as a do behavior.		
proc/1	proc(?Procedure) implies that ?Procedure is a process in		
	do behavior.		
internal_transition/4	internal_transition(?State, ?Event, ?Guard,		
	<b>?Action)</b> indicates that while the system is in <b>?State</b> ,		
	should ?Event occur and with ?Guard being true, the		
	system performs ?Action. In the triplet (?Event, ?Guard,		
	<b>?Action)</b> , only <b>?Guard</b> is optional, the absence of which is		
	codified as nil.		

(a) Clause signatures for composite states and state behaviors.

CLAUSE	DESCRIPTION			
entry_pseudostate/2	entry_pseudostate(?Entry, ?Substate) implies that			
	?Substate is the target inner-state whose superstate is al-			
	ready defined by substate(?Superstate, ?Substate).			
exit_pseudostate/2	exit_pseudostate(?Exit, ?Superstate) implies that ?Exit is			
	an exit state within the superstate ?Superstate.			
choice/1	choice(?Name) defines a choice pseudostate.			
junction/1	junction(?Name) defines a junction pseudostate.			
history/1	history(?State) implies that history of the incoming transi-			
tions to state ?State is captured.				
deep_history/1	deep_history(?State) implies that history of the incoming			
	transitions to state ?State as well as all its substates are cap-			
tured.				

(b) Clause signatures for pseudostates.

CLAUSE	DESCRIPTION		
region/2	region(?State, ?Region) implies that ?State contains a autonomous re-		
	gion ?Region with substates, defined by substate(?Region, ?Substate).		
fork/1	fork(?State) implies that ?State is a fork pseudostate.		
join/1	join(?State) implies that ?State is a join pseudostate.		
forking/2	forking(?Fork, ?State) implies a forked-transition to the ?State.		
joining/2	joining(?Join, ?State) implies a joining-transition from the ?State to		
	the join point ?Join.		
par/2	par(?PState, ?List), used in the flattening process, keeps the list of all corresponding parallel [sub]-states that are handled by the state ?PState.		

(c) Clause signatures for parallel regions and parallel states.

## Model Transformation - State Machine into CDL: An example

Alarm

activate

% top level skip configuring state(idle). [tThreshold != null] idle state(active). Entry: system startup shut-off state(error). deactivate state(final). Entry: system shutdown initial(idle). final(final). alias(final, ""). entry\_pseudostate(active\_skip\_config\_entry, reading). % active superstate is implied exit\_pseudostate(active\_exit, active). transition(idle, active, event(call, activate), nil, nil). transition(idle, active\_skip\_config\_entry, event(call, "skip configuring"), nil, nil). transition(error, active, event(call, reset), nil, nil). transition(active, idle, event(call, deactivate), nil, nil). transition(idle, final, event(call, shutoff), nil, nil). transition(active\_exit, error, nil, nil, nil). % see exit\_pseudostate onentry\_action(idle, action(log, "System Startup")). onentry\_action(final, action(log, "System Shutdown")).



reset

error

active

 $\square$ 

# The Flattening Process



### Order of Actions and State Behaviors



A transition and its corresponding order of actions.

### The Flattened Output

al: exec doubleBeep(): a2: exec echo('Exit configuring mode'); a3: exec longBeep(); a4: log Green LED OFF e4: reset a5: log Green LED ON a6: exec generateError(); a7: log ABORT 'Make Siren Sound' a8: log ABORT 'Slow blinking red LED' a9: log START 'Make Siren Sound' a10: exec beep(); all: log STOP 'Make Siren Sound' a12: exec echo('Configuring mode'); a13: log START 'Slow blinking red LED' a14: exec echo('Exit Emergency'); a15: log System Startup a16: exec sendNotification(); a17: log System Shutdown

e1: set tThreshold e2: done e3: deactivate e4: set e5: timeout 2:00 e6: completed emergency\* e7: when tCurrent >= tThreshold e8: shutoff e9: after 2:00 e10: skip configuring e11: activate e12: e12





## The Flattened Output

MEASURE			INITIAL MODEL	FLATTENED MODEL
number	of	states and substates	9	18
number	of	nested states	5	0
number	of	internal initial states	2	0
number	of	transitions	16	29
number	of	internal transitions	2	0
number	of	entry pseudo states	1	0
number	of	exit pseudo states	1	0
number	of	entry behavior	2	0
number	of	do behavior	2	0
number	of	exit behavior	3	0
number	of	guards	2	2
number	of	actions	10	26
number	of	nil transitions	2	11
number	of	levels	3	1

# Minimizing the nil-transitions

Procedure Collapse **Input:** The EFSM machine in CDL. **Output:** The EFSM machine in CDL. 1. Set  $l_s \leftarrow \emptyset$ . Set  $l_t \leftarrow \text{all } t \text{ in } match(t, \texttt{transition/5}, t.\texttt{event} \neq \texttt{nil}).$ 2. For each  $t_1$  in  $l_t$  do: 2.1.  $bind(q, t_1.destination)$ ; remove $(t_1, l_t)$ . 2.2. While  $exits(t_2, transition/5,$  $t_2[$ .source,.event,.guard $] = \langle q, \mathbf{nil}, \mathbf{nil} \rangle$ : 2.2.1.  $match(t, transition/5, t.source = t_2.source and t.event \neq nil);$ If exists(t) return **ERR**. 2.2.2.  $replace(t_1, \langle t_1.source, t_2.destination, t_1.event, t_1.guard,$  $concat(t_1.action, t_2.action) \rangle).$ 2.2.3.  $append(t_1, l_t)$ . 2.2.4. match(m, initial/1, m.state = q); If not exists(m):  $append(q, l_s)$ . 3. For each s in  $l_s$  do: 3.1. match(t, transition/5, t.destination = s); If exists(t) return **ERR**. 3.2. remove(t); remove(s); **END** Collapse.





Fig. 4: Minimized collapsed flattened ESFM.

## The Flattened Output

MEASURE			INITIAL MODEL	FLATTENED MODEL
number	of	states and substates	9	<u>−18</u> → 7
number	of	nested states	5	0
number	of	internal initial states	2	0
number	of	transitions	16	<del>29</del> → 18
number	of	internal transitions	2	0
number	of	entry pseudo states	1	0
number	of	exit pseudo states	1	0
number	of	entry behavior	2	0
number	of	do behavior	2	0
number	of	exit behavior	3	0
number	of	guards	2	2
number	of	actions	10	<u>−26</u> 17
number	of	nil transitions	2	$-11 \rightarrow 1$
number	of	levels	3	1

# Querying the CDL - Primitives

- *new-id*([prefix]): creates and returns a new global unique identifier.
- match(s, clause/arity [, condition = true]): selects all clauses matching clause/arity in s that satisfies given condition.
- *add*(clause/arity, args): adds a new clause to the database.
- *remove*(s): removes clause(es) denoted by the selector s from the database.
- replace(s, args): replaces a single clause denoted by selector s with new arguments.
- select(s, condition = true): selects all items from selector s that satisfy a given condition.
- *exists*(s [, condition = true]): returns true if selector s contains elements that satisfy condition, otherwise false.
- *exists*(s, clause/arity, [, condition = true]): = *match*(x, clause/arity); return *exists*(x, condition); x may be referenced in condition.
- **bind**(x, selector): binds x to the selector.
- *insert*(e, place): inserts element e to the beginning of the list represented by place. If place is **nil**, a new list containing e is created, where place is pointing to. If place is singular, it is converted to a list that contains the element place.
- append(e, place): same as insert(), except e is appended to the end of the list represented by place.
- remove(e, col): removes e from the collection represented by col. If col is singular, it is converted to a list that contains the element col itself.
- **pop**(col): removes and returns the first element of col.
- *diff*(s1, s2): returns the set difference s1 s2. Both s1 and s2 are converted to a set if they are not.
- concat(I1, I2): concatenates / appends I1 and I2 in a newly constructed list, as return value. If either arguments are singular they are converted to lists.

### Querying the CDL - Example

```
• Using primitives
                                                        %% Prolog Database
                                                        state(s).
     featuring match, add, remove
                                                        state(t).
                                                        state(x).
                                                        transition(s, t, nil).
# Python
                                                        transition(s, x, e, g, a).
                                                        transition(s, x, e2, g2, "exec: v2 = v2 + 1;").
print("\nBefore:")
p.dumpall("state/1", "transition/3")
p.dynamic("transition/3", "transition/5")
                                                        Before:
                                                        state('s').
                                                        state('t').
m = p.matchall("transition/3")
                                                        state('x').
for x in m:
                                                        transition('s','t','nil').
  p.remove("transition", x)
  p.add("transition", x[0:3] + ['guard', 'action'])
                                                        After:
                                                        state('s').
                                                        state('t').
print("\nAfter:")
                                                        state('x').
p.dumpall("state/1", "transition/5")
                                                        transition('s','x','e','g','a').
                                                        transition('s','x','e2','g2','exec: v2 = v2 + 1;').
```

transition('s','t','nil','guard','action').

# Flattening Orthogonal Regions

Procedure PExpand
Input: The UML machine in CDL.
Output: The expanded UML machine in CDL.
0. For all t in match(t, transition/5, t.event = nil):
 Set t.event = 'event(completed, {t.source})'.
1. Execute PCartesian.
2. Execute PStateBahavior.

**END** PExpand.

### Parallel States / Orthogonal Regions



Fig. 5: An abstract UML state machine with parallel regions.

### Parallel States / Orthogonal Regions



Fig. 6: Equivalent UML inner-states using join/fork pseudostates.

# Flattening Orthogonal Regions

#### Subroutine PCartesian

For each  $s_{top}$  in match(s, state/1, exists(r, region/1, r.state = s)) do: 1.  $s_{\text{new}} = new - id(\text{'s'}); add(\text{substate/2}, \langle s_{\text{top}}, s_{\text{new}} \rangle); add(\text{par/2}, \langle s_{\text{new}}, \{\}\rangle).$ 2. For each r in  $match(r, region/2, r.state = s_{top})$  and exists(x, substate/2, x.superstate = r.state andexists(y, initial/1, y.state = x.substate)) do:  $match(\ell, par/2, \ell.state = s_{new}); append(y.state, \ell.list)$ 3. Set  $l \leftarrow \{s_{\text{new}}\}$ . 4. While l is not empty do: 4.1.  $s \leftarrow pop(l)$ . 4.2. match(x, par/2, x.state = s); bind(p, x.list).4.3. For each t in  $match(t, transition/5, t.source \in p)$ : 4.3.1. Set  $p' \leftarrow p - \{ t. \text{source} \} + \{ t. \text{destination} \}$ . 4.3.2. If not exists(x, state/1, x.list = p'):  $s_{\text{new}} = new \cdot id(\text{'s'}); add(\text{substate/2}, \langle s_{\text{top}}, s_{\text{new}} \rangle);$  $add(par/2, \langle s_{new}, p' \rangle); append(s_{new}, l).$ 4.3.3. match(x, state/1, x.list = p'). 4.3.4. If  $\forall x_i \in p' : exists(f, final/1, f.state = x_i)$ , then  $add(\texttt{final/1}, \langle s_{\text{new}} \rangle).$ 4.3.5.  $add(transition/5, \langle s, x.state, t.event, t.guard, t.action \rangle)$ . 5. For all q in  $match(r, region/2, r.state = s_{top})$ , match(q, substate/2, q.region = r.region) do: 5.1. remove(t) in match(t, transition/5, t.source = q or)t.destination = q);5.2. pemove(x) in match(x, substate/2, x.substate = q)5.3. remove(x) in match(x, initial/1, x.state = q), if any. 5.4 remove(x) in match(x, final/1, x.state = q), if any. 6. remove(r) in  $match(r, region/2, r.state = s_{top})$ . **END** PCartesian

#### Subroutine PStateBehavior

0. Set  $\ell \leftarrow \text{all } \ell$ .state in match(x, par/2)s. Set  $s \leftarrow x$ .state in  $match(x, initial/1, x \in \ell)$ . 1. For each  $x \in \ell_2$ .list in  $match(\ell_2, par/2, \ell_2.state = s)$  do: 1.1.  $match(e, onentry_action/2, e.name = s);$ 1.2  $match(\alpha, \text{onentry}_action/2, e.name = x);$ if  $exits(\alpha)$  append( $\alpha$ .action, e.action). 1.3  $match(\alpha, do_action/2, e.name = x);$ if  $exits(\alpha)$  append('action(log, "START { $\alpha$ .name}"))', e.action). 2. Save  $\ell$  in  $\ell_{save}$ . 3. While  $\ell$  is not empty do: 3.1. remove(s,  $\ell$ ). 3.2.  $l_{\text{from}} \leftarrow x.\texttt{list}$  where match(x, par/2, x.state = s). 3.3. For each t in match(t, transition, t.source = s) do: 3.3.1.  $\ell_{to} \leftarrow p.list$  where match(p, par/2, p.state = t.destination). 3.3.2.  $s_{\text{leave}} \leftarrow diff(\ell_{\text{to}}, \ell_{\text{from}}); s_{\text{enter}} \leftarrow diff(\ell_{\text{from}}, \ell_{\text{to}}).$ 3.3.3.  $match(\alpha, \text{ onentry}_action/2, \alpha.name = s_{enter});$ if  $exits(\alpha)$  append( $\alpha$ .action, t.action). 3.3.4.  $match(\alpha, \text{onexit}_action/2, \alpha.name = s_{leave});$ if  $exits(\alpha)$  insert( $\alpha$ .action, t.action). 3.3.5.  $match(\alpha, do_action/2, \alpha.name = s_{leave});$ if  $exits(\alpha)$  and  $t.event = `event(completed, \{s_{leave}\})`$ *insert*('action(log, "STOP { $\alpha$ .name}"))', *t*.action), otherwise *insert*('action(log, "ABORT { $\alpha$ .name}"))', *t*.action). 3.3.6.  $match(\alpha, do_action/2, \alpha.name = s_{enter});$ if  $exits(\alpha)$  append ('action(log, "START { $\alpha$ .name}"))', t.action). 4. Restore  $\ell$  from  $\ell_{save}$ . 5. For all  $p \in \ell$ , For all x in match(x, par/2, x, state = p), For all s in x.list do: 5.1. remove(e) where  $match(e, onentry_action/2, e.name = s)$ . 5.2. remove(e) where  $match(e, do_action/2, e.name = s)$ . 5.3. remove(e) where  $match(e, onexit_action/2, e.name = )$ **END** PStateBehavior.



### Flattening Orthogonal Regions



Fig. 7: Generated equivalent expanded machine without parallel regions.

# Complexity and Correctness

- Using Flattened EFSM
  - more vertices may be produced all transitions are made explicitly.
  - can aid in behavior analysis of the initial machine (correctness, complexity, and welformedness)
- Verified though case-studies, using
  - nested composite states, with both implicit and explicit events, and
  - complex behaviors to verify the resulting sequence of actions.
  - We did not include **external event** in the complex region.
  - The formal proof of correctness may be provided by using formal definition of UML state machines. We plan to address this in future.



# Interoperability among text-to-UML Drawing Tools

• A comprehensive database of of text-to-UML may be found at:

https://modeling-languages.com/text-uml-tools-complete-list/

- Common issues:
  - Not all features are supported

*Examples:* history annotation, state behaviors, composite state annotation, junction

• We use CDL as a common interoperable platform





Interoperability among text-to-UML Drawing Tools

- We used an extensible template-based code-generation for conversion from CDL to target platform
- We used suggestive parsing for unsupported features
  - state behaviors as prefixes
  - default event types (all as call events)
  - UML stereotypes (i.e. composite, choice)





The Common Declarative Language as a Database

 Prolog enables us performing rule-based queries for complexity analysis and correctness

```
in_degree(State, N) :-
    findall([Source, State],
        (initial(State); transition(Source, State, _, _, _);
        (entry_pseudostate(Entry, Substate),
        transition(_, Entry, _, _, _),
        superstate(State, Substate));
        entry_pseudostate(Source, State)), Lst),
    length(Lst, N).
```

```
?- in_degree(configuring, N). %% N = 2
?- in_degree(reading, N). %% N = 5
?- in_degree(active, N). %% N = 3
```

The Common Declarative Language as a Database

 Prolog enables us performing rule-based queries for complexity analysis and correctness

```
?- get_all_internals(Lst).
%% Lst = [[configuring, [set, tThreshold], [exec, "doubleBeep();"]],
%% [configuring, [call, done], [exec, "generateError();"]]]
```

# Conclusion and Future Work

- The CDL serves as a textual representation of initial UML state machine, as well as the flattened model.
- Text-to-UML drawing tools can deploy CDL in model transformation.
  - CDL may be used to create a repository of representation as well as to support tool interoperability.
  - A machine produced by one tool can then be represented declaratively and read by another tool.
- Text-to-UML drawing tools may not support exact same set of UML elements
  - compatibility may not always be full

# Conclusion and Future Work

- Our EFSM definition allows a UML state machine to be flattened, whereby composite and orthogonal states collapse into a single level of abstraction.
- In previous work we deployed the flattened model as the basis of simulation.
- Our previous work concentrated on the fundamental features of the UML, where the CDL was used as the basis for simulation. In this paper, we addressed major *advanced features* of the UML, including presence of orthogonality, while complementing previous work on representation, model transformation, and visualization tool interoperability.
- Future work will address the second major advanced feature of a UML state machine: the *History pseudostate*.

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