

# **A Predictive Flow Control Mechanism to Provide QoS and Efficient Network Utilization**

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## Introduction

- High-speed networks will carry both delay sensitive (DS) traffic and delay insensitive (DI) traffic.
- DS traffic: voice, video, multimedia applications.
- DI traffic: e-mail, ftp, web-browsing.
- Transient oscillations in network traffic can lead to network congestion  $\Rightarrow$  excessive delays  $\Rightarrow$  poor QoS performance, especially for DS traffic.
- We must provide low delay service to DS traffic, while at the same time efficiently utilizing the network.



## Possible Approach

- Approach: Give the DS traffic priority over the DI traffic.
- Advantage:
  - Simple
  - Can provide QoS for DS traffic since it is not delayed by DI traffic.
- Drawback: By itself this is not enough.
- Reason: The DI traffic is not controlled  $\Rightarrow$  Unnecessary retransmissions may take place that would reduce the overall throughput.
- A number of control schemes have been developed in the literature to control the DI traffic



## Existing Works

- Binary feedback schemes
  - Ramakrishnan88, Bonomi95
- Two-bit feedback schemes
  - Lapsley96
- Explicit-rate control schemes
  - Benmohamed93, Jain94, Zhao97, Altman98



## Difficulties

- Queueing system is non-linear.
- Control system is non-linear since the controlled DI traffic rate can never be less than zero.
- In some explicit-rate control schemes, a linear system is used as an approximation (e.g. [Zhao97], [Altman98]) but this could result in poor network performance.
- In our work, the non-linear properties of both the queueing system and the control system are considered in developing and analyzing the performance and stability of our flow control system.
- **Goal:** To develop a predictive flow control algorithm that minimizes congestion under a throughput constraint.



## Model

- Focus on a single bottleneck link within the network
- Infinite buffer, Discrete-time, fluid queue
- At time  $n = 0$ ,  $q(0) = 0$
- $V(n)$  is the aggregate amount of DS traffic that arrives at the bottleneck queue at time  $n$ .
- $V_{max} := \sup_{n \geq 0} \{V(n)\}$  is finite
- $V(n)$  is stationary in the mean and  $\bar{V} := E\{V(n)\}$
- $N :=$  the number of DI traffic sources
- $\mu :=$  the service rate of the link.



## Model

- $n_i, i = 1, \dots, N$  is the round trip delay between the  $i$ th DI source and the destination
- $a_i(n)$  is the  $i$ th DI traffic rate computed at time  $n$  based on the prediction of DS traffic rate. This explicit rate information will be sent back to the  $i$ th DI traffic source
- The DI traffic sources always have enough data to transmit
- $a(n) = \sum_{i=1}^N a_i(n - n_i)$  is the aggregate DI traffic arrival to the queue at time  $n$
- $\hat{V}_i(n)$  is the predicted value of  $V(n)$  based on the history of  $V$  upto time  $n - n_i$ .



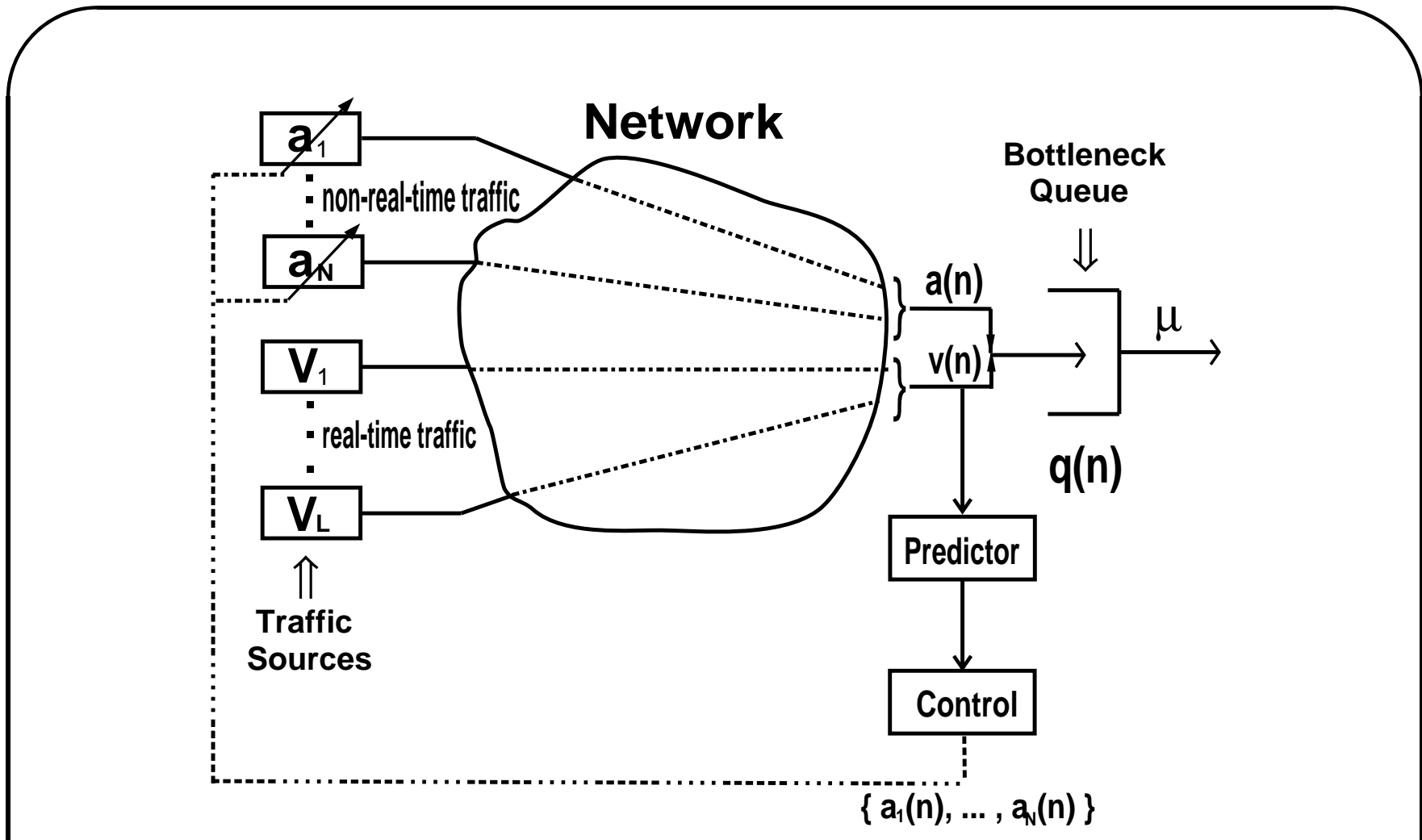


Figure 1: System diagram of predictive flow control

## Model

- Let  $V(z)$  be the Z-transform of  $V(n) - \bar{V}$  and let  $\hat{V}_i(z)$  be the Z-transform of  $\hat{V}_i(n) - \bar{V}$ .
- The above predictor is linear (e.g. AR, ARMA, etc.).

$$\hat{V}_i(z) = z^{-n_i} H_i(z) V(z),$$

- $H_i(z)$  is a causal, stable linear time invariant system.
- The predictor above is unbiased, i.e.,  $E\{\hat{V}_i(n)\} = \bar{V}$ .

## Single DI traffic Source Case

- Ideally, we would like to achieve  $a(n) + V(n) = \mu$  at all time  $n$ .
- This is not possible for two reasons:
  - Prediction Error. Then what about

$$a_1(n) + \hat{V}_1(n + n_1) = p\mu?$$

Here  $p$  is the percentage of output link capacity that we would like to utilize.

- Still not possible because  $V(n) > \mu$  and  $\hat{V}_1(n + n_1) > \mu$  for some values of  $n \Rightarrow a(n) < 0$  for those values of  $n$ , which is clearly infeasible.



## Single DI traffic Source Case

- So, considering the possibility of prediction error and the possibility that  $V(n) > \mu$ , a simple control algorithm could be

$$a_1(n) = [p\mu - \hat{V}_1(n + n_1)]^+,$$

where  $x^+ = x$  if  $x > 0$ , or  $x = 0$ , otherwise.

- Drawback: does not take into account the queueing behavior. When there is a substantial backlog in the queue, even if  $p\mu > \hat{V}_1(n + n_1)$ ,  $a_1(n)$  should be zero.

## Single DI traffic Source Case

- **Proposed Control Algorithm ( $N = 1$  case)**

1. Define an auxiliary process  $S_1(n)$  and set  $S_1(0) = 0$ .
  2.  $S_1(n) = [S_1(n-1) + \hat{V}_1(n) - p\mu]^+$ . For  $n \leq 0$ , we let  $V(n) = 0$ .  $p$  is the percentage of output link capacity that we would like to utilize.  
( $S_1(n)$  corresponds to the queue length of a system with  $\hat{V}$  as input and  $p\mu$  as link rate).
  3.  $a_1(n) = [p\mu - \hat{V}_1(n + n_1) - S_1(n + n_1 - 1)]^+$ . For  $n \leq 0$ , we let  $a_1(n) = 0$ .
- $a_1(n)$  is non-zero only when there is no predicted backlog in the queueing system.

## Single DI traffic Source Case

- **Lemma 1** *Let  $q_1(n)$  be the workload at time  $n$  in a queueing system with input  $\hat{V}_1(n)$  and service rate  $\mu$  and let  $q_2(n)$  be the workload at time  $n$  in a queueing system with input  $a(n) + \hat{V}_1(n)$  and service rate  $\mu$ . Then  $q_2(n) = q_1(n)$  for any  $n \geq 0$ .*
- Lemma 1 shows that if we have perfect prediction, then the queue length using our scheme will be the same as the queue length of a queueing system with only  $\hat{V}_1(n)$  as input.

## Single DI traffic Source Case

- **Proposition 1** *For our predictive flow control algorithm defined in steps 1–3 earlier, under the condition  $\bar{V} < p\mu$ , we have*

$$\lim_{n \rightarrow \infty} \frac{\sum_{j=1}^n a(j)}{n} + \bar{V} = p\mu$$

- Proposition 1 tells us that, using our scheme, the average aggregate input rate to the queue is given by  $p\mu$ .
- When we fix  $p$  in our control algorithm, the output link utilization is also fixed and does not depend on other predictor parameters.
- Note: We need the condition  $\bar{V} < p\mu$ , otherwise our utilization would have to be larger than  $p$ .



## Single DI traffic Source Case

- **Theorem 1** *Let  $q_0(n)$  be the workload at time  $n$  in a queueing system with input  $V(n)$  and service rate  $\mu$  and let  $q(n)$  be the workload at time  $n$  in a queueing system with input  $a(n) + V(n)$  and service rate  $\mu$ . Then under the predictive flow control algorithm defined above, if  $\frac{\rho\mu - \bar{V}}{\mu - \bar{V}} \leq H_1(1) \leq 1$ , there exists a constant  $C_1$  such that  $q(n) \leq q_0(n) + 2C_1$  for any  $n \geq 0$ .*
- $q_0(n)$  corresponds to the queue length without DI traffic, and is expected to be a loose lower bound on the queue length generated by any control scheme.
- Using our algorithm,  $q(n)$  is only an additive constant (not dependent on the time  $n$ ) larger than  $q_0(n)$ .



## Multiple DI traffic Source Case

- **Proposed Control Algorithm**

1. Set  $S_i(0) = 0, 1 \leq i \leq N$ .

2.  $S_i(n) = [S_i(n-1) + \hat{V}_i(n) - p\mu]^+$ . For  $n \leq 0$ , we let  $V(n) = 0$ .

3.  $a_i(n) = \frac{1}{N} [p\mu - \hat{V}_i(n + n_i) - S_i(n + n_i - 1)]^+$ . For  $n \leq 0$ , we let  $a_i(n) = 0$ .

- For fairness, we assign the same arrival rate to each DI traffic. This is by no means a necessary condition, and can be relaxed by modifying step 3 above in favor of a more unfair system if the situation so required it.

## Multiple DI traffic Source Case

- **Theorem 2** *Let  $q_0(n)$  be the workload at time  $n$  in a queueing system with input  $V(n)$  and service rate  $\mu$  and let  $q(n)$  be the workload at time  $n$  in a queueing system with input  $a(n) + V(n)$  and service rate  $\mu$ . Then under the predictive flow control algorithm defined above, If  $\frac{\rho\mu - \bar{V}}{\mu - \bar{V}} \leq H_i(1) \leq 1$ , for all  $1 \leq i \leq N$ , we will have  $q(n) \leq q_0(n) + 2C$ , where  $C = \max_{1 \leq i \leq N} C_i$ .*
- The only requirement for the DS traffic is that  $V_{max} < \infty$ . Hence, our the result can be applied to both short range dependent and long range dependent DS traffic.

## Asymptotic Properties of the Overflow Probability

- By correctly choosing  $H_i(1)$  in our scheme, we have

$$P\{q(n) > x\} \leq P\{q_0(n) > x - 2C\}.$$

- Let  $Q$  and  $Q_0$  be stationary versions of  $q(n)$  and  $q_0(n)$ , resp.
- When  $V(n)$  is short range dependent, for a large class of short range dependent traffic, we have  $P\{Q_0 > x\} \sim Ae^{-Bx}$ , when  $x$  is large ( $A, B$  are constants). Hence,

$$\lim_{x \rightarrow \infty} \frac{P\{Q > x\}}{P\{Q_0 > x\}} \leq \lim_{x \rightarrow \infty} \frac{Ae^{-B(x-2C)}}{Ae^{-Bx}} = e^{2BC}$$

- Asymptotically, our scheme produces an overflow probability that does not diverge from the overflow probability of the system without DI traffic.



## Asymptotic Properties of the Overflow Probability

- When  $V(n)$  is long range dependent, for a large class of traffic models,  $P\{Q_0 > x\} \approx Ae^{-Bx^d}$ , when  $x$  is large, where  $A, B, d$  are constants and  $0 < d < 1$ .

$$\lim_{x \rightarrow \infty} \frac{P\{Q > x\}}{P\{Q_0 > x\}} \leq \lim_{x \rightarrow \infty} \frac{Ae^{-B(x-2C)^d}}{Ae^{-Bx^d}} = 1$$

- Asymptotically, the overflow probability of our system converges to that of the system without DI traffic.
- If  $H_i(1)$  does not satisfy the above condition,  $\lim_{x \rightarrow \infty} \frac{P\{Q > x\}}{P\{Q_0 > x\}}$  may go to  $\infty$ .



## Stability

- The system is stable if the queueing system is stable.
- If  $H_i(z)$  are stable and  $H_i(1) = 1$  for any  $i$ ,  $q(n)$  will not exceed  $q_0(n)$  plus a constant.
- If  $q_0(n)$  is stable, the queueing system  $q(n)$  will also be stable.
- The stability does not depend on the predictor parameters once  $H_i(1) = 1$  is set.
- This stability is robust to the round trip delay errors as long as  $H_i(1) = 1$  is maintained.

## Simulations

- Assume that  $V(n)$  is wide sense stationary.
- $\Delta(j) \triangleq V(j) - \hat{V}_i(j)$ .
- $X_{n,l} \triangleq \sum_{j=n-l+1}^n \Delta(j)$ .
- $E\{X_{n,l}\} = 0$ .
- $V_l = \text{Var}X_{n,l}$  does not depend on  $n$ .
- Choose predictor parameters such that we minimize  $\lim_{l \rightarrow \infty} V_l$  under the condition  $H_i(1) = 1$ .
- Our scheme is abbreviated as MPQ.



## Simulations

- The “Minimum Mean Squared Error (MMSE)” predictor is designed as follows:
  - First, a low pass filter  $H_{LPF}(z)$  is applied to the DS traffic.
  - Next, a standard minimizing mean square error linear predictor  $H_{MMSE}(z)$  is calculated based on the low frequency part of the DS traffic.
  - The final “MMSE” predictor is  $H_{LPF}(z)H_{MMSE}(z)$ .



## Simulations

- $H_2$  represents the scheme used in [Zhao97].
- In the  $H_2$  scheme, the low frequency part of the DS traffic is considered as a deterministic step function rather than a stochastic process. Thus,

$$H_i(z) = z^{-n_i} H_{LPF}(z)$$

- $H_2$  scheme satisfies the condition  $H_i(1) = 1$ . So, in many cases, the  $H_2$  scheme is actually pretty good.
- However, because the stochastic property of the DS traffic is not considered, in some cases, we can see a substantial difference between the  $H_2$  Scheme and MPQ.



## Simulations

- “No DI” represents the Overflow probability of  $q_0$ , i.e., the queueing system with only DS traffic.
- In all simulations, five DI traffic sources are simulated.
- The round trip delays of the DI traffic loops are from 5 to 9.



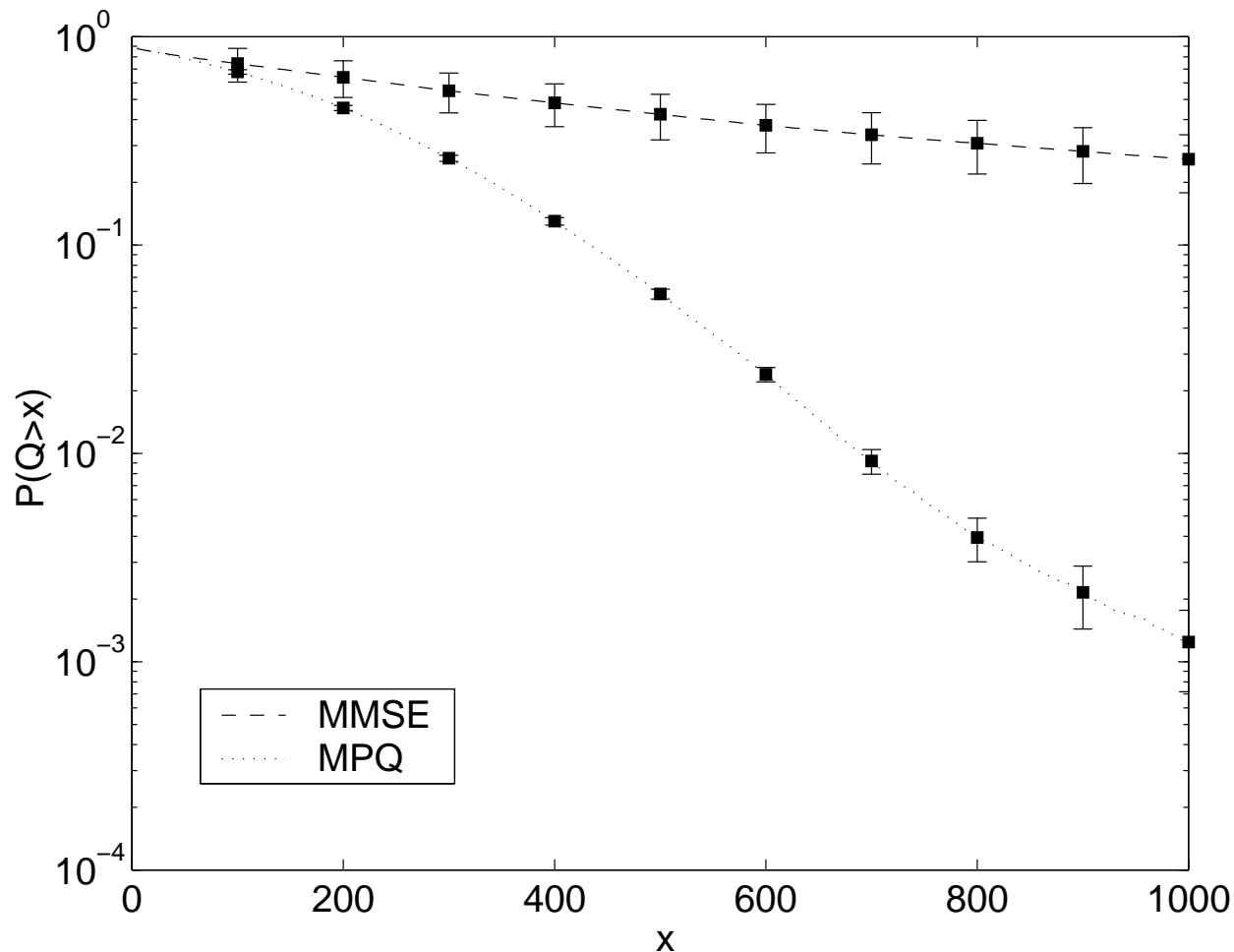


Figure 2: Overflow probability with  $V(n)$  Gaussian process,  $C_v(k) = 1600 \times (|k| + 1)^{-0.75}$ ,  $\bar{V} = 150$ , link capacity = 200, utilization = 98%.



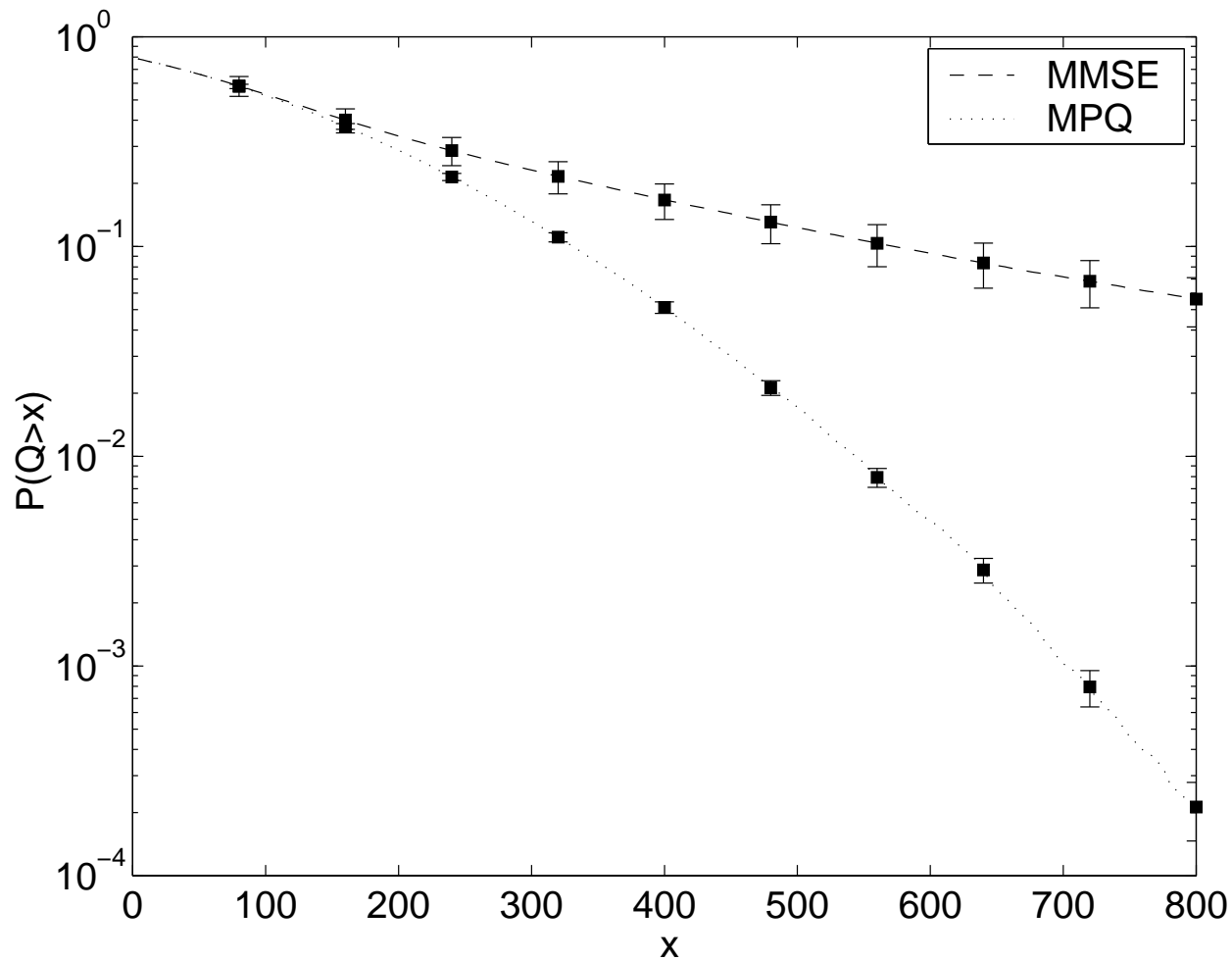


Figure 3: Overflow probability with actual mpeg video as real-time traffic,  $\bar{V} = 11.6Mbps$ , link capacity =  $15Mbps$ , utilization = 98%.



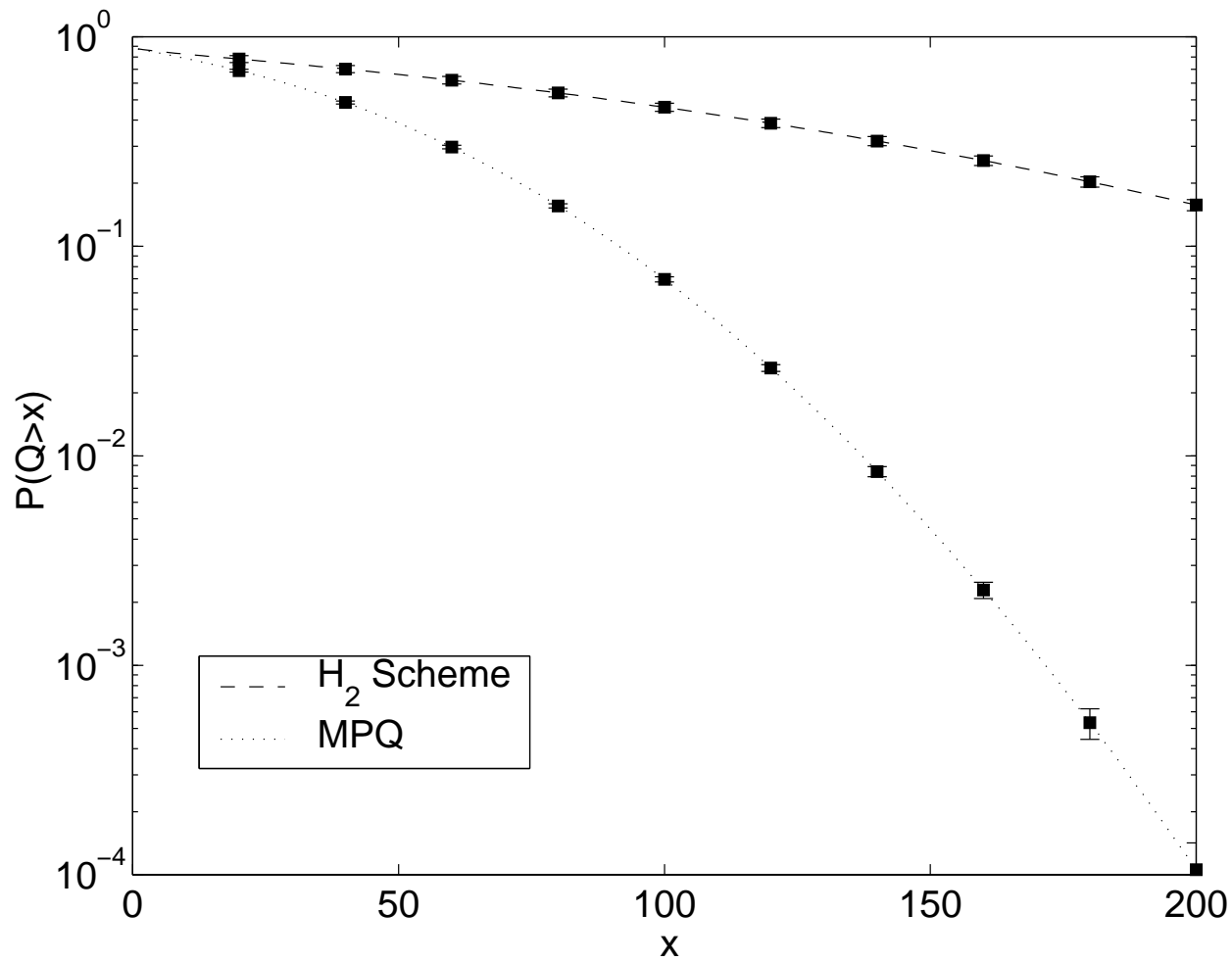


Figure 4: Overflow probability with voice sources as real-time traffic,  $\bar{V} = 341.2$ , link capacity = 500, utilization = 99.8%.



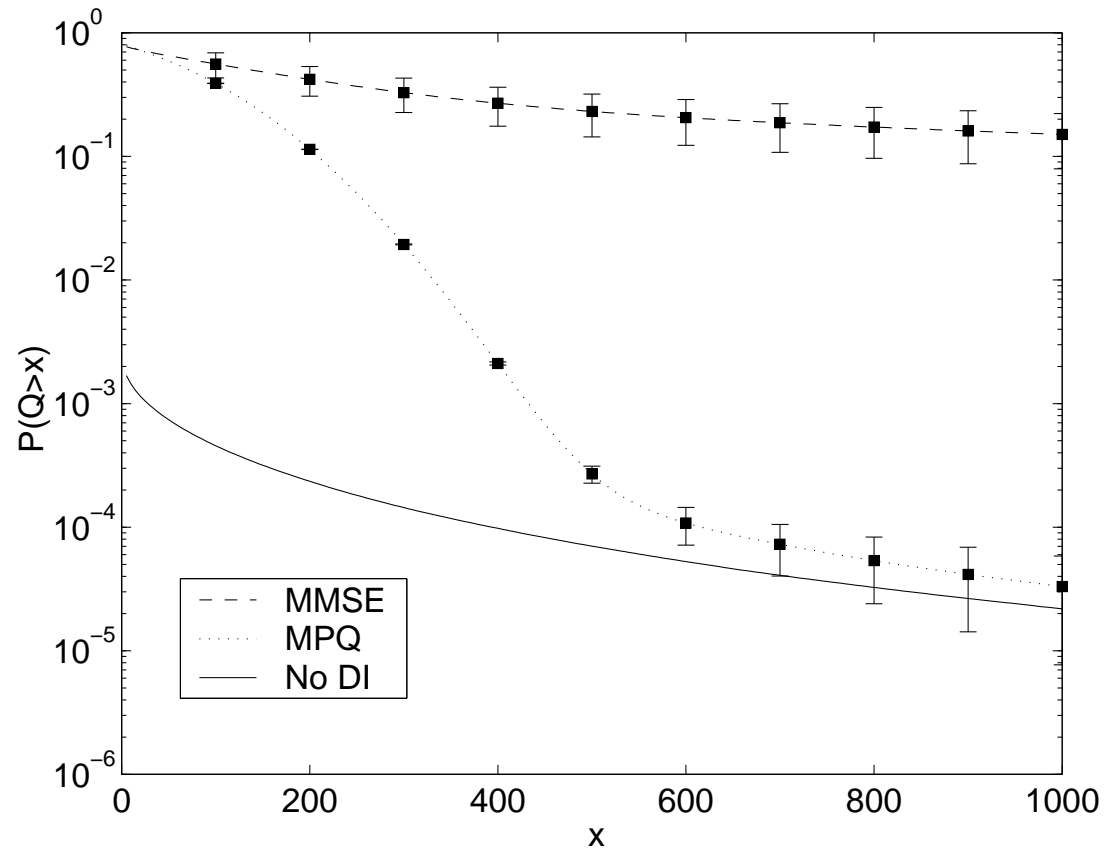


Figure 5: Overflow probability with  $V(n)$  Gaussian process,  $C_v(k) = 479.599 \times 0.9990005^{|k|} + 161.787 \times 0.9899995^{|k|} + 498.033 \times 0.9^{|k|}$ ,  $\bar{V} = 100$ , link capacity = 200, utilization = 98%.



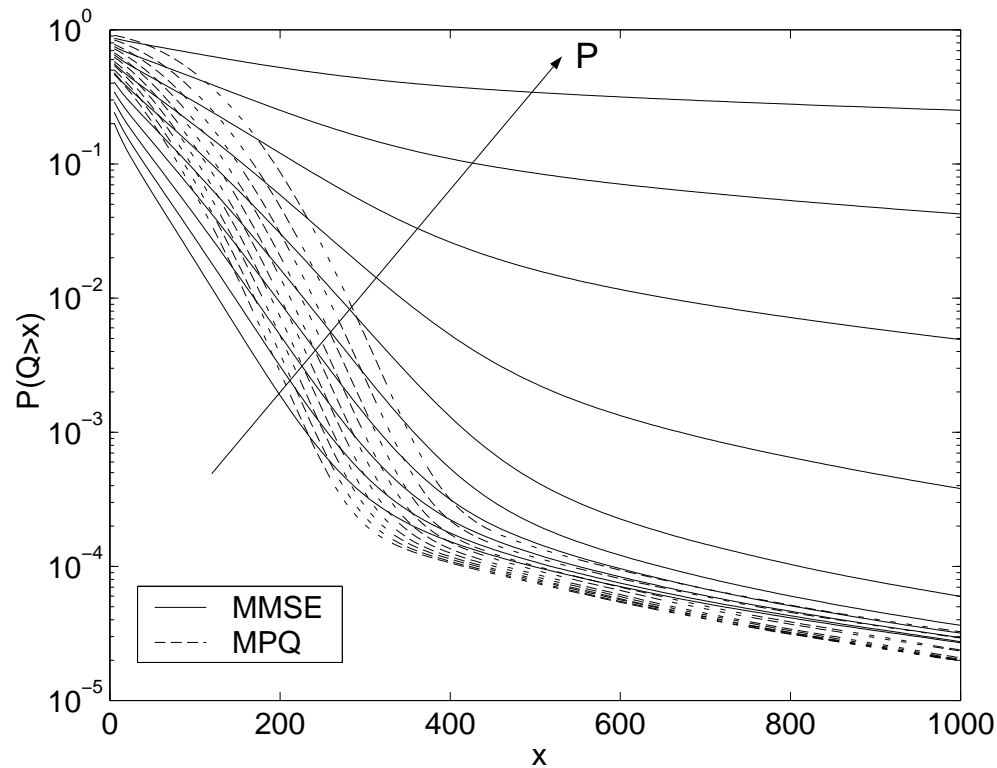


Figure 6: Overflow probability with  $V(n)$  Gaussian process,  $C_v(k) = 479.599 \times 0.9990005^{|k|} + 161.787 \times 0.9899995^{|k|} + 498.033 \times 0.9^{|k|}$ ,  $\bar{V} = 100$ , link capacity = 200, single DI traffic with round trip delay 5,  $p$  goes from 90% to 99% with a step of 1%.

## Conclusion And Future Work

- For a given level of utilization, our algorithm results in a significantly smaller overflow probability than other schemes in the literature.
- Our control system is stable and the stability is robust to round trip delay errors.
- We show how to choose appropriate predictor parameters and show that when such parameters are chosen, the performance of our scheme is very close to the best achievable performance.
- Release the condition that  $V_{max}$  is finite.
- Find an optimal predictor.
- Extend the work to handle multiple bottlenecks in the network.



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