

# Lecture 13

- Performance of digital modulation schemes.

in fading channel:

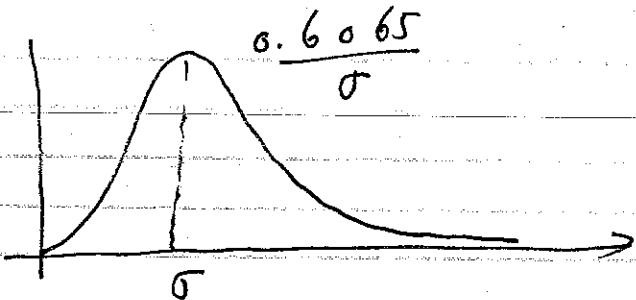
$$-j\theta(t)$$

$$r(t) = \alpha(t)e^{-j\theta(t)} s(t) + n(t)$$

Where  $n(t)$  is AWGN and

$\alpha(t)$  is a Rayleigh Fading component.

$$P(\alpha) = \begin{cases} \frac{1}{\sigma^2} e^{-\alpha^2/\sigma^2}, & 0 \leq \alpha < \infty \\ 0, & \alpha \geq 0 \end{cases}$$



$$\sigma_{\text{mean}} = \int_0^{\infty} \alpha p(\alpha) d\alpha = \sigma \sqrt{\frac{\pi}{2}} = 1.2583 \sigma$$

$$\sigma_x^2 = \sigma^2 \left(2 - \frac{\pi}{2}\right) = 0.4292 \sigma^2$$

$$\overline{\alpha^2} = 2\sigma^2$$

To find the probability of error, we find  $P_e$  for a given  $\alpha$  and average over all  $\alpha$ . For any  $\alpha$ , the effective SNR will be

$$X = \alpha^2 \frac{E_b}{N_0}$$

and then average over all  $\alpha$  (equivalently all  $X$ ) will be:

$$P_e = \int_0^{\infty} P_e(x) p(x) dx$$

$$p(\alpha) = \frac{2\alpha}{\sigma^2} e^{-\frac{\alpha^2}{\sigma^2}} \Rightarrow p(x) = \frac{1}{\Gamma} e^{-\frac{x}{\sigma^2}}$$

where  $\Gamma = \frac{E_b}{N_0} \Delta^2$  is the average value of the SNR.

For different modulation schemes, we have

$$P_e, \text{PSK} = \frac{1}{2} \left[ 1 - \sqrt{\frac{\Gamma}{1+\Gamma}} \right] \quad \text{Binary, Coherent}$$

$$P_e, \text{FSK} = \frac{1}{2} \left[ 1 - \sqrt{\frac{\Gamma}{2+\Gamma}} \right] \quad " \quad "$$

for large  $E_b/N_0$

$$P_e, \text{PSK} = \frac{1}{4\Gamma} \quad \text{Binary, Coherent}$$

$$P_e, \text{FSK} = \frac{1}{2\Gamma} \quad " \quad "$$

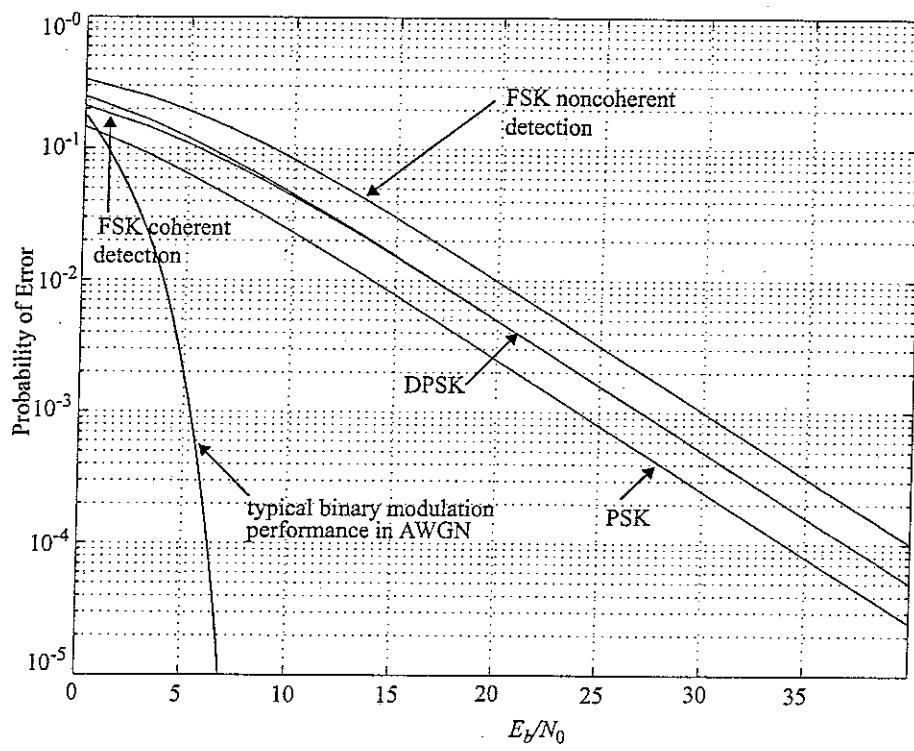
$$P_e, \text{DPSK} = \frac{1}{2\Gamma} \quad " \quad \text{non-coherent (differential)}$$

$$P_e, \text{FSK} = \frac{1}{\Gamma} \quad \text{non-coherent.}$$

For GMSK

$$P_e, \text{GMSK} = \frac{1}{2} \left( 1 - \sqrt{\frac{8\Gamma}{8\Gamma+1}} \right) \approx \frac{1}{48\Gamma}$$

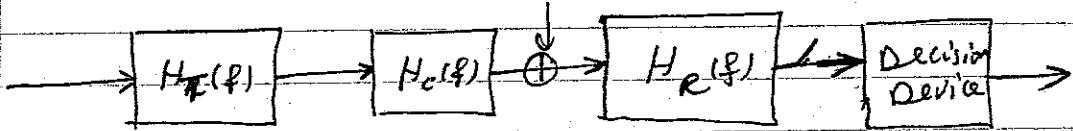
$$\text{where } \delta = \begin{cases} 0.68 & \text{for } BT = 0.25 \\ 0.85 & \text{for } BT = \infty \end{cases}$$



13 - 4

## Equalization:

ISI either as a result of band limitation  
or multipath can be overcome by compensating  
non-idealness of the channel  $\Rightarrow$  equalization  
noise



$$F(f) H_{\text{eff}}(f) = H_T(f) H_C(f) H_R(f)$$

We require that the overall transfer function  $f(t)$   
 $F(f)$  or  $H_{\text{eff}}(f)$  or equivalently  $h_{\text{eff}}(t)$  have a  
certain shape, e.g., raised cosine.

However, if the channel is not constant,  
say length of wire or in general ~~the path~~  
~~length~~

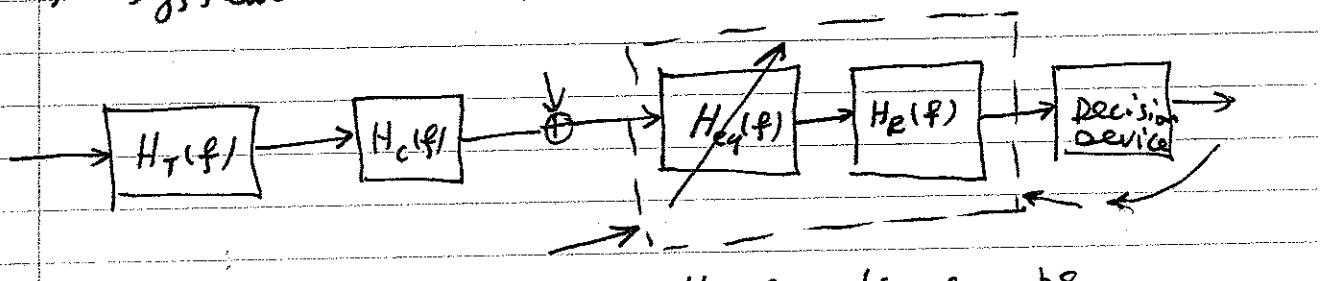
between calling and called parties is not the same for all pairs of subscribers, then, we need to compensate for this.

or fixed wireless

In the case of wireline<sup>V</sup>, one can adjust the equalizer at the outset of the call and keep it constant for the duration of the call.  
(or even once forever)

In the case of mobile the path properties changes during the call requiring adaptive equalization.

A system with equalizer is:



$H_6(f)$  also can be conceived as a variable given matching to the channel variances.

## Implementation of equalizers using FIR filters (transversal filters)

let the received signal be :

$$y(t) = x(t) * f(t) + n(t)$$

where  $x(t)$  is the original message signal

$$f(t) = h_r(t) * h_c(t) * h_e(t)$$

and  $n(t)$  is the AWGN

when we insert the  $h_{eq}(t)$ , i.e., the equalizer,  
the output will be

$$\hat{x}(t) = x(t) * f(t) * h_{eq}(t) + n(t) * h_{eq}(t)$$

when there is no noise we would like

$$\hat{x}(t) = x(t)$$

$$\text{i.e. } f(t) * h_{eq}(t) = \delta(t)$$

or

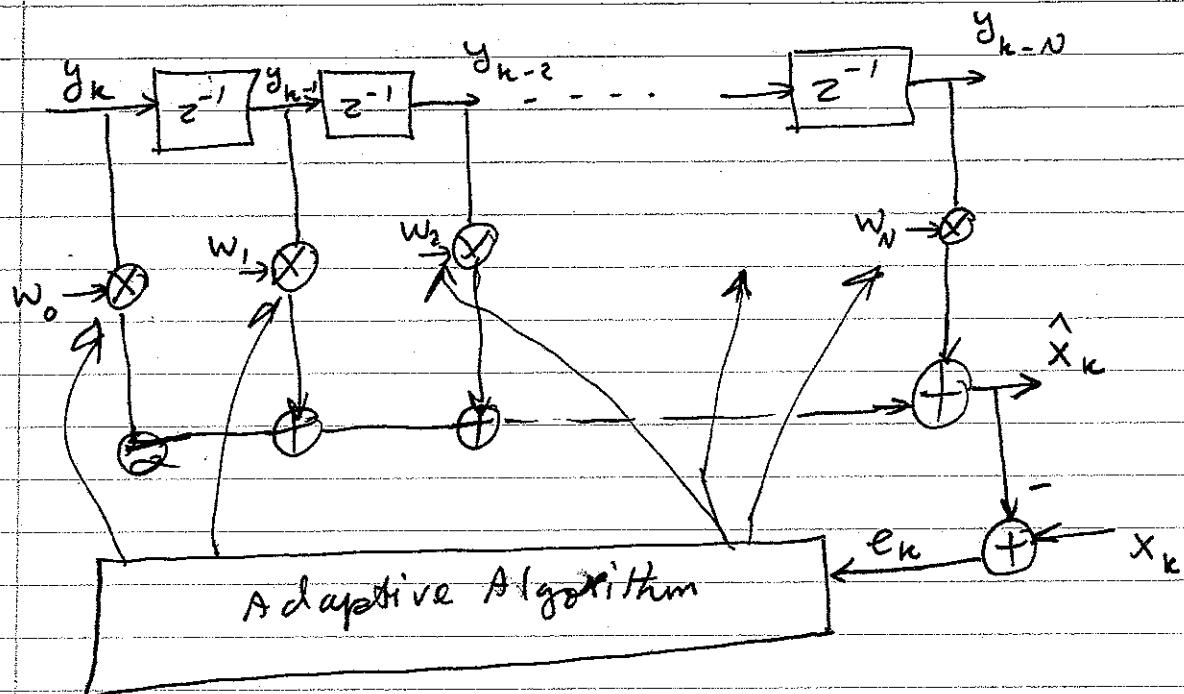
$$F(f) H_{eq}(f) = 1$$

or

$$H_{eq}(f) = \frac{1}{F(f)}$$

zero-forcing equalizer

## FIR filter implementation:



$$e_k = x_k - \hat{x}_k = x_k - \sum_{n=0}^N w_n y_{k-n}$$

Least MSE criterion, minimizing:

$$\epsilon^2 = E[e_k^2] = E\left[\left(x_k - \sum_{n=0}^N w_n y_{k-n}\right)^2\right]$$

with proper choice  $w_n$ 's.

This can be done by equating the derivative of  $\epsilon$  w.r.t.  $w_n$ 's to zero.

$$\frac{\partial}{\partial w_i} \epsilon = 0 \Rightarrow \sum_{n=0}^N R_{xy}(i-n) = r_{yy}(i)$$

$$\hat{w}_0 R_y(0) + \hat{w}_1 R_y(1) + \dots + \hat{w}_N R_y(N) = \hat{r}_{xy}(0)$$

$$\hat{w}_0 R_y(1) + \hat{w}_1 R_y(0) + \dots + \hat{w}_N R_y(N-1) = \hat{r}_{xy}(1)$$

⋮  
⋮  
⋮

This can be written as:

$$\underline{\hat{w}}^T \bar{R} = P$$

$$\text{or } \hat{w} = \bar{R}^{-1} P$$

where

$$\bar{R} = E \begin{bmatrix} y_k^2 & y_k y_{k-1} & \dots & y_k y_{k-N} \\ y_{k-1} y_k & y_{k-1}^2 & \dots & y_{k-1} y_{k-N} \\ \vdots & \vdots & \ddots & \vdots \\ y_{k-N} y_k & y_{k-N} y_{k-1} & \dots & y_{k-N}^2 \end{bmatrix}$$

and

$$P = E [x_k y_k \ x_k y_{k-1} \ x_k y_{k-2} \ \dots \ x_k y_{k-N}]^T$$

$$\text{MMSE} = \epsilon_{\min} = E\{x_k^2\} - P^T \hat{w}$$

Different types of adaptation:

- overhead data (preamble)
- Feedback data.

## Types of diversity:

- Space Diversity (Antenna Diversity)

- Frequency Diversity

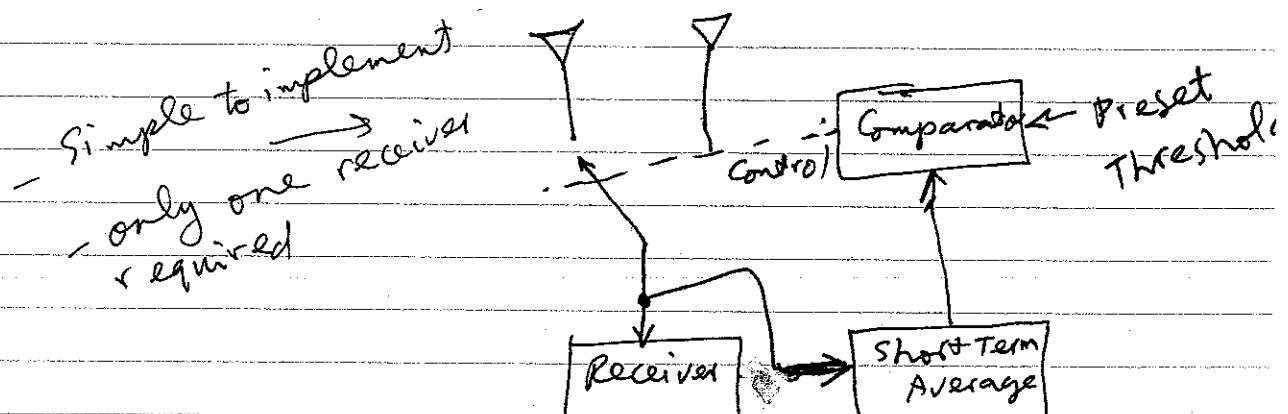
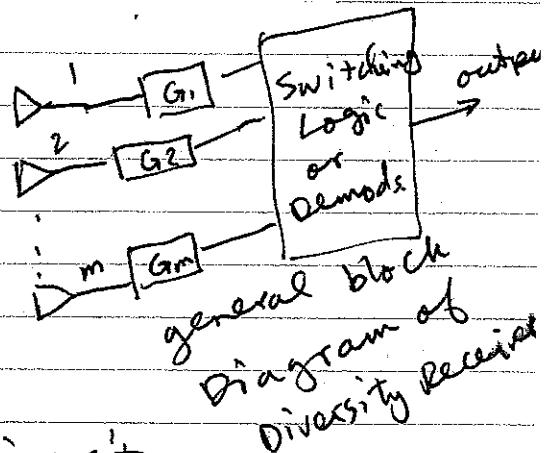
- Time Diversity  $\leftrightarrow$  Coding

- Polarization Diversity

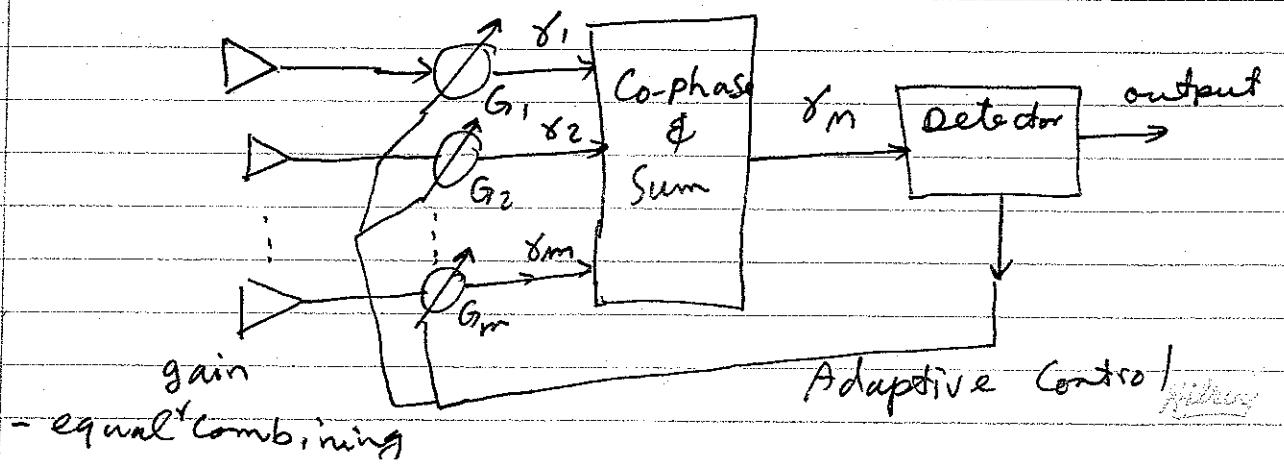
## Diversity strategies:

- Selection Diversity

- Feedback or Scanning Diversity



- Maximal Radio Combining



Diversity: selection diversity improvement.

Take the average SNR as

$$\overline{\text{SNR}} = \bar{\Gamma} = \frac{E_b}{N_0} \alpha^2$$

then the instantaneous  $\text{SNR} = \gamma_i$  has the probability density:

$$P(\gamma_i) = \frac{1}{\bar{\Gamma}} e^{-\frac{\gamma_i}{\bar{\Gamma}}} \quad \gamma_i \geq 0$$

The probability that the Signal-to-Noise ratio is less than a certain threshold  $\gamma$  is:

$$\begin{aligned} P_r(\gamma_i \leq \gamma) &= \int_0^\gamma P(\gamma_i) d\gamma_i = \int_0^\gamma \frac{1}{\bar{\Gamma}} e^{-\frac{\gamma_i}{\bar{\Gamma}}} d\gamma_i \\ &= 1 - e^{-\frac{\gamma}{\bar{\Gamma}}} \end{aligned}$$

Now, if we have  $M$  independent paths, the probability that SNR is over all of them is less than  $\gamma$  is:

$$P_r(\gamma_1 < \gamma, \gamma_2 < \gamma, \dots, \gamma_M < \gamma) = \left(1 - e^{-\frac{\gamma}{\bar{\Gamma}}}\right)^M = P_M(\gamma)$$

and Probability that at least one branch achieves  $\text{SNR} > \gamma$  is:

$$P_r(\gamma_i > \gamma) = 1 - P_M(\gamma) = 1 - \left(1 - e^{-\frac{\gamma}{\bar{\Gamma}}}\right)^M$$

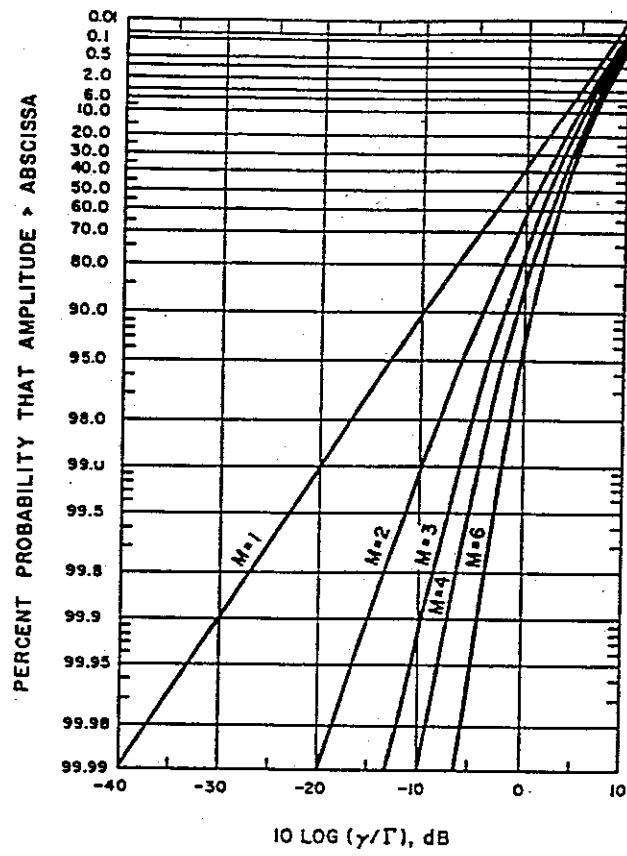


Figure 6.11

Graph of probability distributions of  $SNR = \gamma$  threshold for  $M$  branch selection diversity. The term  $\Gamma$  represents the mean  $SNR$  on each branch [From [Jak71] © IEEE].

The pdf of  $\gamma$  is:

$$p_n(\gamma) = \frac{d}{d\gamma} P_n(\gamma) = \frac{m}{\Gamma} (1 - e^{-\frac{\gamma}{\Gamma}})^{m-1} e^{-\frac{\gamma}{\Gamma}}$$

and the average SNR is:

$$\bar{\gamma} = \int_0^{\infty} \gamma p_n(\gamma) d\gamma = \Gamma \int_0^{\infty} m \times (1 - e^{-x})^{m-1} e^{-x} dx$$

where  $x = \frac{\gamma}{\Gamma}$ . The evaluation of above results in:

$$\bar{\gamma} = \Gamma \sum_{k=1}^m \frac{1}{k}$$

or the improvement as a result of  $M$  branch diversity is

$$\frac{\bar{\gamma}}{\Gamma} = \sum_{k=1}^m \frac{1}{k}$$

Example: For a four (4) branch diversity scheme if the average SNR is 20 dB determine the probability that the SNR drops below 10 dB.

Answer:  $\Gamma = 20 \text{ dB}$  and  $\gamma = 10 \text{ dB}$

$$\Gamma = 100 \quad \text{and} \quad \gamma = 10 \quad \text{so} \quad \frac{\gamma}{\Gamma} = 0.1$$

$$P_n(10 \text{ dB}) = (1 - e^{-0.1})^4 = 0.000082 = 8.2 \times 10^{-5}$$

without diversity:

$$P_r(10 \text{ dB}) = (1 - e^{-0.1}) = 0.095 \approx 10\%$$

Maximal ratio combining improvement:

Selection diversity is simple to implement:

it only requires monitoring & antenna selection switch.

However, due to the fact that it does not use information from the ~~remaining~~ other branches (the ones with lower SNR) it is not optimal.

In ratio combining, the voltage signal from  $m$  diversity branches ( $r_i$ 's) are co-phased and added (coherent combination), resulting

in

$$r_m = \sum_{i=1}^m G_i r_i$$

where  $G_i$  is the gain of  $i$ th branch.

The received signal Power is then

$$\frac{r_m^2}{2} = \frac{\left(\sum_{i=1}^m G_i r_i\right)^2}{2}$$

Hilary

13 - 16

Assume that Noise power is the same in all branches, say  $N$ , then the total noise  $N_T$  is:

$$N_T = N \sum_{i=1}^M G_i^2$$

$$\frac{(\sum r_i)^2}{2N} = \frac{\sum r_i^2}{2N}$$

and overall SNR is

$$\gamma_M = \frac{r_m^2}{2N_T} = \frac{(\sum_{i=1}^M G_i r_i)^2}{2N \sum_{i=1}^M G_i^2}$$

or

$$\gamma_M = \frac{1}{2} \frac{\left( \sum_{i=1}^M \frac{r_i^2}{N} \right)^2}{N \sum_{i=1}^M \left( \frac{r_i^2}{N^2} \right)} = \frac{1}{2} \sum_{i=1}^M \frac{r_i^2}{2N} = \frac{1}{2} \sum_{i=1}^M \gamma_i$$

So where, we have used the fact that

$\gamma_M$  is maximized when  $G_i = \frac{r_i}{N}$ ,  $G_i = k r_i$

we note that the SNR of  $M$  branch combiner is the sum of SNRs of all branches.

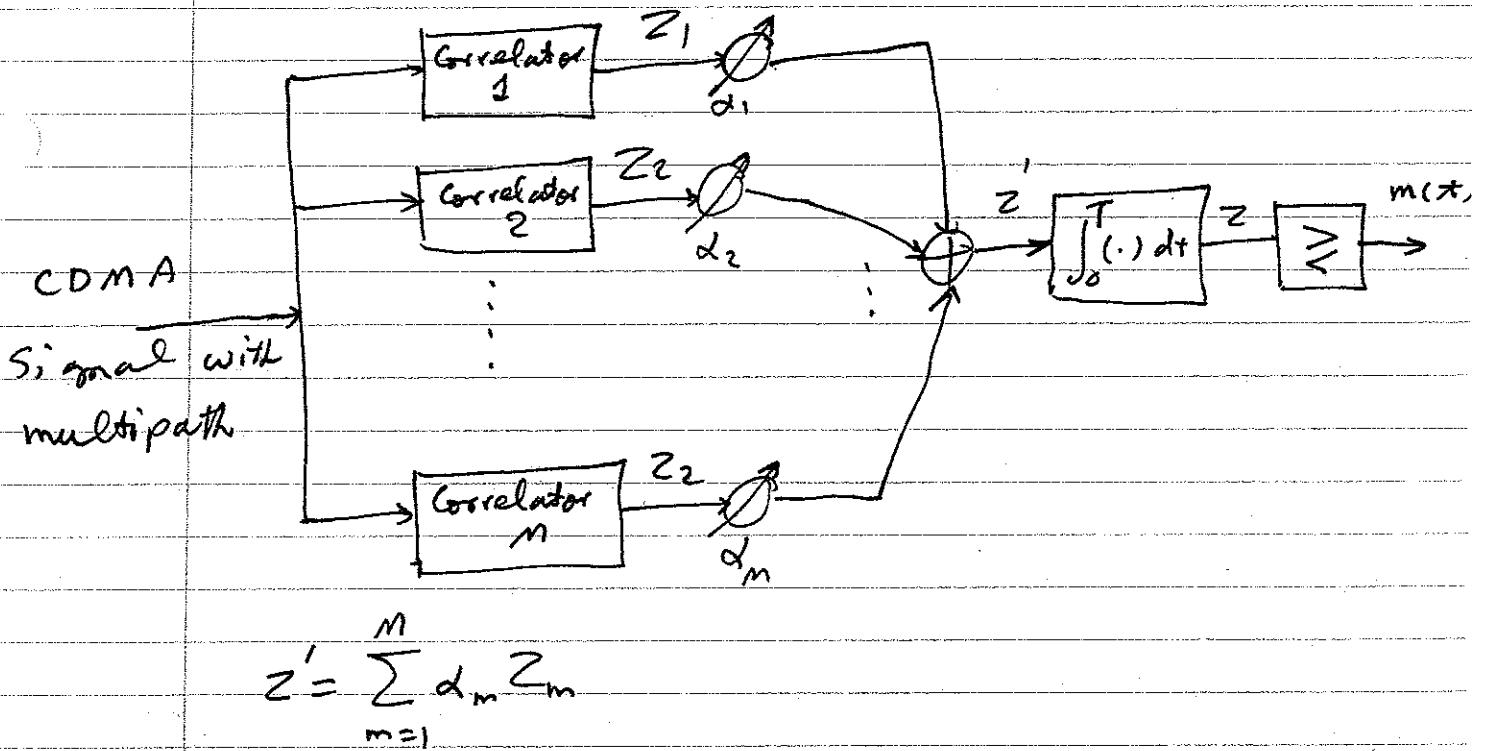
$$\bar{\gamma}_m = \sum_{i=1}^M \bar{\gamma}_i = \sum_{i=1}^M \Gamma = M \Gamma$$

Honey

## Rake Receiver

In CDMA, multipath arrivals apart by more than one chip duration are practically uncorrelated,

So they do not cause ISI  $\Rightarrow$  no need for equalization. However, the information in these multiple receptions can be used in type of diversity receiver called the rake receiver.



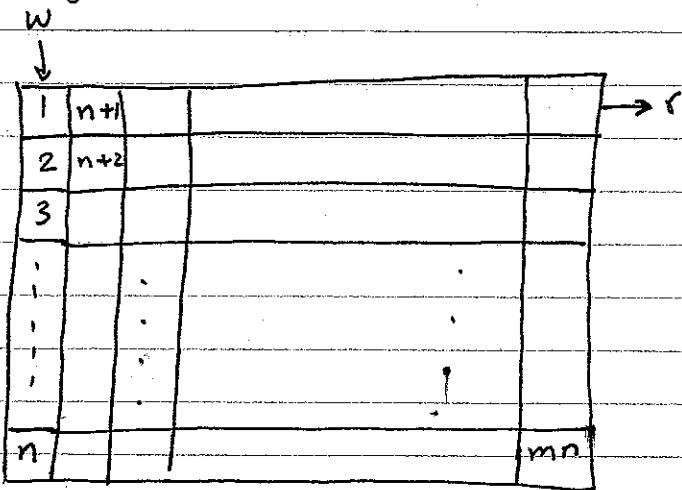
$d_m$ 's can be chosen using adaptive algorithms

or simply based on the correlator output strength, i.e.

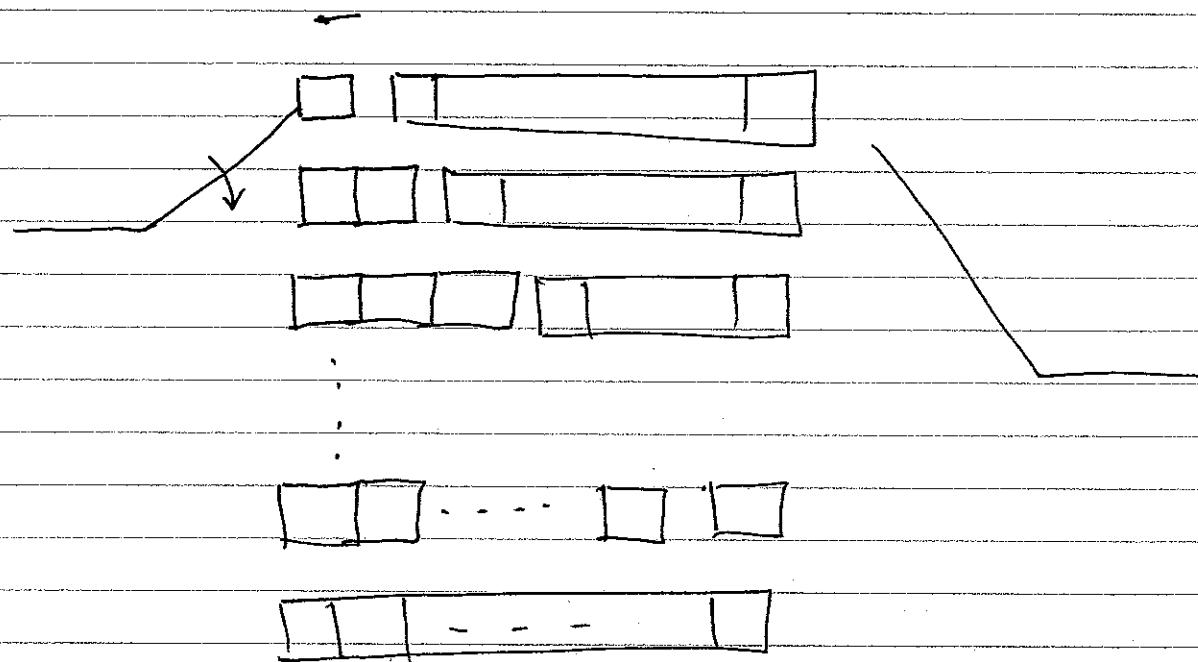
$$d_m = \frac{Z_m^2}{\sum_{m=1}^N Z_m^2}$$

13-18

## Interleaving



Block interleaver



Convolutional interleaver.