

# ELEC 6131: Error Detecting and Correcting Codes

**Instructor:**

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Time and Place: Tuesday, 17:45 – 20:15.

Office Hours: Tuesday, 15:00 – 17:00

## LECTURE 3: More on Galois Fields

# Properties of Extended Galois Field $GF(2^m)$

- ▶ In ordinary algebra, it is very likely that an equation with real coefficients does not have real roots. For example, equation  $X^2 + X + 1$  has to have two roots, but neither of them is in  $\mathbb{R}$ . The roots of  $X^2 + X + 1$  are  $-\frac{1}{2} \pm j\frac{\sqrt{3}}{2}$ . That is, they are from the complex field  $\mathbb{C}$ .
- ▶ The same way, a polynomial with coefficients from  $GF(2)$ , may or may not have roots  $\in \{0, 1\}$ . For example, it is easy to see that  $X^4 + X^3 + 1$  over  $GF(2)$  is irreducible. So, it does not have roots in  $GF(2)$ . But it is of degree four, so it has to have four roots. These roots are in  $GF(2^4)$ . For a small field like  $GF(2^4)$  it is easy to try all 16 elements (in fact 14, since we know that 0 and 1 are not answers) to find four that solve the equation.

# Properties of Extended Galois Field $GF(2^m)$

- ▶ Substituting elements of  $GF(2^4)$  into the equation  $X^4 + X^3 + 1$  we find out that  $\alpha^7$ ,  $\alpha^{11}$ ,  $\alpha^{13}$ , and  $\alpha^{14}$  are its roots. For example,  $(\alpha^7)^4 + (\alpha^7)^3 + 1 = \alpha^{28} + \alpha^{21} + 1 = \alpha^{13} + \alpha^6 + 1 = (1 + \alpha^2 + \alpha^3) + (\alpha^2 + \alpha^3) + 1 = 0$ . Similarly, we can check  $\alpha^{11}$ ,  $\alpha^{13}$ , and  $\alpha^{14}$ . So,

$$X^4 + X^3 + 1 = (X + \alpha^7)(X + \alpha^{11})(X + \alpha^{13})(X + \alpha^{14}).$$

- ▶ The following theorem helps us to find other roots of a polynomial after finding one.
- ▶ **Theorem 11:** let  $\beta \in GF(2^m)$  be a root of  $f(X)$ . Then,  $\beta^{2^i}$ ,  $i \geq 0$  is also a root of  $f(X)$ .
- ▶ **Proof:** we have seen that  $[f(X)]^2 = f(X^2)$ . So,  $[f(\beta)]^2 = f(\beta^2)$ . Since  $f(\beta) = 0$ ,  $f(\beta^2) = 0$ . Also,  $[f(\beta^2)]^2 = f(\beta^{2^2})$ . So,  $f(\beta^{2^2}) = f(\beta^4) = 0$  and so on. Therefore,  $f(\beta^{2^i}) = 0$ ,  $i \geq 0$ . These elements  $\beta^{2^i}$  of  $GF(2^m)$  are called conjugates of  $\beta$ .
- ▶ In the previous example, after finding  $\beta = \alpha^7$  as a root of  $X^4 + X^3 + 1$ , we can see that  $\beta^{2^1} = \alpha^{14}$  is a root as well.  $\beta^{2^2} = \beta^4 = \alpha^{28} = \alpha^{13}$  is also a root. And also,  $\beta^{2^3} = \beta^8 = \alpha^{56} = \alpha^{11}$ .

# Properties of Extended Galois Field $GF(2^m)$

- ▶ **Theorem 12:** the  $2^m - 1$  non-zero elements of  $GF(2^m)$  form all the roots of  $X^{2^m-1} + 1$ .
- ▶ **Proof:** in Theorem 8, we saw that if  $\beta$  is an element of  $GF(q)$ , then  $\beta^{q-1} = 1$ . So, for  $\beta \in GF(2^m)$  we have  $\beta^{2^m-1} = 1 \Rightarrow \beta^{2^m-1} + 1 = 0$ . This means that  $\beta$  is a root of  $X^{2^m-1} + 1$ . Therefore, every non-zero elements of  $GF(2^m)$  is a root of  $X^{2^m-1} + 1$  and since this polynomial has  $2^m - 1$  roots, the  $2^m - 1$  non-zero elements of  $GF(2^m)$  form all the roots of  $X^{2^m-1} + 1$ .
- ▶ **Corollary 12.1:** the elements of  $GF(2^m)$  form all the roots of  $X^{2^m} + X$ .
- ▶ **Proof:** this polynomial factors as  $X[X^{2^m-1} + 1]$ . It has a root of zero and all non-zero elements of  $GF(2^m)$  as its roots.

# Properties of Extended Galois Field $GF(2^m)$

- ▶ While an element  $\beta$  over  $GF(2^m)$  is always a root of  $X^{2^m-1} + 1$ , it may also be a root of a polynomial over  $GF(2)$  with degree less than  $2^m - 1$ . Take  $m = 4$ , i.e.,  $GF(2^4)$ .  $X^{2^m-1} + 1 = X^{15} + 1$ . We can write  $X^{15} + 1 = (X^4 + X^3 + 1)(X^{11} + X^{10} + X^9 + X^8 + X^6 + X^4 + X^3 + 1)$ . We saw that  $\beta = \alpha^7$  is a root of  $X^4 + X^3 + 1$ .
- ▶ **Definition:** for any  $\beta \in GF(2^m)$  the polynomial  $\phi(X)$  with lowest degree that has  $\beta$  as its root is called the minimal polynomial of  $\beta$ .
- ▶ **Theorem 13:** the minimal polynomial  $\phi(X)$  of a field element  $\beta$  is irreducible.
- ▶ **Proof:** suppose  $\phi(X)$  is not irreducible and can be written as  $\phi(X) = \phi_1(X)\phi_2(X)$ . Since  $\phi(\beta) = \phi_1(\beta)\phi_2(\beta) = 0$ , then either  $\phi_1(\beta) = 0$  or  $\phi_2(\beta) = 0$ . This contradicts the definition the  $\phi(X)$  is the smallest degree polynomial with  $\beta$  as a root.

# Properties of Extended Galois Field $GF(2^m)$

- ▶ **Theorem 14:** If a polynomial  $f(X)$  over  $GF(2)$  has  $\beta$  as a root, then  $\phi(X)$  divides  $f(X)$ .
- ▶ **Proof:** suppose  $f(X)$  is not divisible by  $\phi(X)$ . Then,  $f(X) = \phi(X) \cdot a(X) + r(X)$  with  $r(X)$  having degree less than  $\phi(X)$ . But,  
$$f(\beta) = \phi(\beta) \cdot a(\beta) + r(\beta)$$
- ▶ But  $f(\beta) = 0$  and  $\phi(\beta) = 0 \Rightarrow r(\beta) = 0 \Rightarrow$  contradiction.
  
- ▶ Following properties are simple to prove:
- ▶ **Theorem 15:** the minimal polynomial  $\phi(X)$  of  $\beta \in GF(2^m)$  divides  $X^{2^m} + X$ .
- ▶ **Theorem 16:** if  $f(X)$  is an irreducible polynomial and  $f(\beta) = 0$ , then  $f(X) = \phi(X)$ .

# Properties of Extended Galois Field $GF(2^m)$

- ▶ In a previous example, we saw that  $\alpha^7$ ,  $\alpha^{11}$ ,  $\alpha^{13}$ , and  $\alpha^{14}$  are roots of  $f(X) = X^4 + X^3 + 1$ . That is,
- ▶  $X^4 + X^3 + 1 = (X + \alpha^7)(X + \alpha^{11})(X + \alpha^{13})(X + \alpha^{14})$ .
- ▶ Note that if we take  $\beta = \alpha^7$ , we have  $\beta^2 = \alpha^{14}$ ,  $\beta^4 = \alpha^{28} = \alpha^{13}$ ,  $\beta^8 = \alpha^{11}$ , and  $\beta^{16} = \beta = \alpha^7$ . That is,
- ▶  $X^4 + X^3 + 1 = (X + \beta)(X + \beta^2)(X + \beta^4)(X + \beta^8)$ .
- ▶ Following theorem relates to this observation.
- ▶ **Theorem 17:** for  $\beta \in GF(2^m)$  if  $e$  is the smallest number such that  $\beta^{2^e} = \beta$ , then  $f(X) = \prod_{i=0}^{e-1} (X + \beta^{2^i})$  is an irreducible polynomial over  $GF(2)$ .
- ▶ **Proof:** first we show that  $f(X)$  is a polynomial over  $GF(2)$ .

# Properties of Extended Galois Field $GF(2^m)$

- ▶  $[f(X)]^2 = \left[ \prod_{i=0}^{e-1} (X + \beta^{2^i}) \right]^2 = \prod_{i=0}^{e-1} (X + \beta^{2^i})^2$
- ▶ But  $(X + \beta^{2^i})^2 = X^2 + \beta^{2^i}X + \beta^{2^i}X + \beta^{2^{i+1}} = X^2 + (\beta^{2^i} + \beta^{2^i})X + \beta^{2^{i+1}} = X^2 + \beta^{2^{i+1}}$
- ▶ So,  $[f(X)]^2 = \prod_{i=0}^{e-1} (X^2 + \beta^{2^{i+1}}) = \prod_{i=1}^e (X^2 + \beta^{2^i}) = \prod_{i=1}^{e-1} (X^2 + \beta^{2^i})(X^2 + \beta^{2^e}) = \prod_{i=1}^{e-1} (X^2 + \beta^{2^i})(X^2 + \beta) = \prod_{i=0}^{e-1} (X^2 + \beta^{2^i}) = f(X^2)$
- ▶ Let  $f(X) = f_0 + f_1X + \dots + f_eX^e$ , then  $f(X^2) = f_0 + f_1X^2 + \dots + f_eX^{2e}$  and  $[f(X)]^2 = (f_0 + f_1X + \dots + f_eX^e)^2 = \sum_{i=0}^e f_i^2 X^{2i} + (1+1) \sum_{i=0}^e \sum_{j=0}^e f_i f_j X^{i+j} = \sum_{i=0}^e f_i^2 X^{2i}$ . So,  $f(X^2) = [f(X)]^2 \Rightarrow f_i^2 = f_i$  for all  $i$ .
- ▶ This means that  $f_i = 0$  or  $f_i = 1$  for all  $i$ . Therefore,  $f(X)$  is a polynomial over  $GF(2)$ .
- ▶ The only thing left is to show that  $f(X)$  is irreducible.



# Properties of Extended Galois Field $GF(2^m)$

- ▶ We show that if we assume  $f(X)$  is not irreducible, we arrive at a contradiction.
- ▶ Let  $f(X)$  not be irreducible and can be written as  $f(X) = a(X)b(X)$ . Since  $f(\beta) = 0$ , either  $a(\beta) = 0$  or  $b(\beta) = 0$ . If  $a(\beta) = 0$ , then  $a(X)$  has  $\beta$  as well as  $\beta^2, \dots, \beta^{2^{e-1}}$  as its roots. So, it has degree  $e$  and  $a(X) = f(X)$ . Similarly, for  $b(X)$ . Therefore,  $f(X)$  must be irreducible.
- ▶ **Definition:**  $\beta^2, \dots, \beta^{2^{e-1}}$  are called conjugates of  $\beta$ .
- ▶ **Theorem 18:** let  $\phi(X)$  be the minimal polynomial of  $\beta \in GF(2^m)$ . Let  $e$  be the smallest non-negative integer such that  $\beta^{2^e} = \beta$ . Then,  $\phi(X) = \prod_{i=0}^{e-1} (X + \beta^{2^i})$ .

# Properties of Extended Galois Field $GF(2^m)$

- **Example:** consider Galois Field  $GF(2^4)$  and let  $\beta = \alpha^3$ . The conjugates of  $\alpha^3$  are  $\beta^2 = \alpha^6, \beta^{2^2} = \beta^4 = \alpha^{12}, \beta^{2^3} = \alpha^{24} = \alpha^9$ . So,  $\phi(X)$  for  $\beta = \alpha^3$  is

$$\phi(X) = (X + \alpha^3)(X + \alpha^6)(X + \alpha^{12})(X + \alpha^9) = X^4 + X^3 + X^2 + X + 1.$$

- Consider  $GF(2^4)$  generated by  $p(X) = X^4 + X + 1$ . Following is a list of minimal polynomials:

Conjugate Roots	$\phi(X)$
0	$X$
1	$X + 1$
$\alpha, \alpha^2, \alpha^4, \alpha^8$	$X^4 + X + 1$
$\alpha^3, \alpha^6, \alpha^9, \alpha^{12}$	$X^4 + X^3 + X^2 + X + 1$
$\alpha^5, \alpha^{10}$	$X^2 + X + 1$
$\alpha^7, \alpha^{11}, \alpha^{13}, \alpha^{14}$	$X^4 + X^3 + 1$

# Vector Spaces

► Let  $V$  be a set of elements on which an operation called addition (+) is defined. Let  $F$  be a field. A multiplication ( $\cdot$ ) operation between elements of  $\underline{V}$  and  $\underline{F}$  is defined. The set  $V$  is called a vector space over  $F$  if the following conditions hold:

i)  $V$  is a commutative group under addition.

ii) for any element  $a \in F$  and any  $\underline{v} \in V$ :  $a \cdot \underline{v} \in V$ .

iii) distributive law:  $\forall a, b \in F$  and  $\forall \underline{u}, \underline{v} \in V$ :

$$a \cdot (\underline{u} + \underline{v}) = a \cdot \underline{u} + a \cdot \underline{v} \text{ and} \\ (a + b) \cdot \underline{v} = a \cdot \underline{v} + b \cdot \underline{v}$$

iv) associative law:

$$(a \cdot b) \cdot \underline{v} = a \cdot (b \cdot \underline{v})$$

v) let 1 be the unit element of  $F$ . Then,  $\forall \underline{v} \in V \Rightarrow 1 \cdot \underline{v} = \underline{v}$ .

► The elements of  $V$  are called vectors. The elements of the field  $F$  are called scalars.

# Properties of Vector Spaces

- ▶ The addition between elements of  $V$  is called vector addition.
- ▶ The multiplication between elements of  $F$  and  $V$  is called scalar multiplication.

- ▶ **Properties of the vector field:**

**Property I:**  $\forall \underline{v} \in V \Rightarrow \underline{0} \cdot \underline{v} = \underline{0}$  where  $\underline{0}$  is the zero element of  $F$ .

**Property II:**  $\forall c \in F \Rightarrow c \cdot \underline{0} = \underline{0}$  where  $\underline{0}$  is the zero element of  $V$ .

**Property III:**  $\forall c \in F$  and  $\forall \underline{v} \in V$ , we have:

$$(-c) \cdot \underline{v} = c \cdot (-\underline{v}) = -(c \cdot \underline{v}).$$

- ▶ **Definition:** a subset of a vector space  $V$  say  $S$  is called a subspace if it is also a vector space.
- ▶ **Theorem 22:** let  $S \subset V$  where  $V$  is a vector space over  $F$ . Then  $S$  is a subspace of  $V$  if:
  - $\forall \underline{u}, \underline{v} \in S, \underline{u} + \underline{v} \in S.$
  - $\forall a \in F$  and  $\underline{u} \in S \Rightarrow a \cdot \underline{u} \in S.$

# Set of Binary $n$ -tuples is a Vector Space

- ▶ Take  $\underline{v} = (v_0, v_1, \dots, v_{n-1})$  where  $v_i \in GF(2)$ . Define:  
$$\underline{v} + \underline{u} = (v_0 + u_0, v_1 + u_1, \dots, v_{n-1} + u_{n-1}),$$

where addition is modulo-2.

Also, for  $a \in GF(2)$  define:

$$a \cdot \underline{v} = (a \cdot v_0, a \cdot v_1, \dots, a \cdot v_{n-1}),$$

where multiplication is modulo-2.

- ▶ Let  $\underline{v}_1, \underline{v}_2, \dots, \underline{v}_k$  be  $k$  vectors  $\in V$  and  $a_1, a_2, \dots, a_k \in F$ . Then,

$$a_1 \underline{v}_1 + a_2 \underline{v}_2 + \dots + a_k \underline{v}_k$$

is called a linear combination of  $\underline{v}_1, \underline{v}_2, \dots, \underline{v}_k$ . It is clear that sum of two linear combinations of  $\underline{v}_1, \underline{v}_2, \dots, \underline{v}_k$  is a linear combination of  $\underline{v}_1, \underline{v}_2, \dots, \underline{v}_k$ . Also,  $c \cdot (a_1 \underline{v}_1 + a_2 \underline{v}_2 + \dots + a_k \underline{v}_k)$  is a linear combination of  $\underline{v}_1, \underline{v}_2, \dots, \underline{v}_k$ . So:

- ▶ **Theorem 23:** the set of all linear combinations of  $\underline{v}_1, \underline{v}_2, \dots, \underline{v}_k \in V$  is a subspace of  $V$ .

# Set of Binary $n$ -tuples is a Vector Space

- ▶ **Definition:**  $\underline{v}_1, \underline{v}_2, \dots, \underline{v}_k \in V$  are linearly dependent if there are  $k$  scalars  $a_1, a_2, \dots, a_k \in F$  such that  $a_1\underline{v}_1 + a_2\underline{v}_2 + \dots + a_k\underline{v}_k = \underline{0}$ .
- ▶ A set of vectors  $\underline{v}_1, \underline{v}_2, \dots, \underline{v}_k \in V$  are linearly independent if they are not linearly dependent.
- ▶ Consider:

$$\underline{e}_0 = (1, 0, \dots, 0)$$

$$\underline{e}_1 = (0, 1, \dots, 0)$$

$$\vdots$$

$$\underline{e}_{n-1} = (0, 0, \dots, 1)$$

- ▶ these  $n$ -tuples span the vector space  $V$  of all  $2^n$   $n$ -tuples.

# Set of Binary $n$ -tuples is a Vector Space

- ▶ Each  $n$ -tuple  $(a_0, a_1, \dots, a_{n-1})$  is written as  $(a_0, a_1, \dots, a_{n-1}) = a_0\underline{e}_0 + a_1\underline{e}_1 + \dots + a_{n-1}\underline{e}_{n-1}$ .
- ▶ We call  $\underline{u} \cdot \underline{v} = u_0v_0 + u_1v_1 + \dots + u_{n-1}v_{n-1}$  the inner product of  $\underline{u}$  and  $\underline{v}$ . If  $\underline{u} \cdot \underline{v} = 0$ , we say that  $\underline{u}$  and  $\underline{v}$  are orthogonal.
- ▶ Let  $S$  be a subspace of  $V$ . Let the subset  $S_d$  of  $V$  be the set of all vectors  $\underline{u}$  of  $S$  and for any vector  $\underline{v} \in S_d$  we have  $\underline{u} \cdot \underline{v} = 0$ .  $S_d$  is called the null space of  $S$ .
- ▶ **Theorem 24:** let  $S$  be a  $k$ -dimensional subspace of  $V_n$  (set of  $n$ -tuples over  $GF(2)$ ). The dimension of  $S_d$ , the null space of  $S$ , is  $n - k$ .