

## Multiple-Input - Multiple-Output (MIMO)

We have already talked about antenna diversity at the receiver, i.e., the case when there are  $N_R$  receiver chains (antenna, down converter, demodulator, etc.), but one transmitter. This is called a Single-Input - Multiple-Output (SIMO) system.

More recently systems with transmit diversity have been suggested and used. These include Multiple-Input - Single-Output (MISO) and, more generally, Multiple-Input - Multiple-Output (MIMO).

In a MIMO system, there are  $N_T$  transmit antennas and  $N_R$  receive antennas.

If we denote the impulse response between  $j$ -th transmitter and  $i$ -th receiver by

$h_{ij}(\tau; t)$  where  $\tau$  is the delay variable and

$t$  is the time variable, the channel will be characterised by an  $N_R \times N_T$  matrix;

$$H(z; t) = \begin{bmatrix} h_{11}(z; t) & h_{12}(z; t) & \dots & h_{1, N_T}(z; t) \\ h_{21}(z; t) & h_{22}(z; t) & \dots & h_{2, N_T}(z; t) \\ \vdots & \vdots & \ddots & \vdots \\ h_{N_R, 1}(z; t) & h_{N_R, 2}(z; t) & \dots & h_{N_R, N_T}(z; t) \end{bmatrix}$$

The signal received at  $i$ -th antenna is:

$$\begin{aligned} r_i(t) &= \sum_{j=1}^{N_T} \int_{-\infty}^{\infty} h_{ij}(z; t) s_j(t-z) dz \\ &= \sum_{j=1}^{N_T} h_{ij}(z; t) * s_j(z) \quad i=1, 2, \dots, N_R \end{aligned}$$

or

$$\underline{r}(t) = H(z; t) * \underline{s}(z)$$

For a flat (frequency-nonselective) channel

$$H(t) = \begin{bmatrix} h_{11}(t) & h_{12}(t) & \dots & h_{1, N_T}(t) \\ h_{21}(t) & h_{22}(t) & \dots & h_{2, N_T}(t) \\ \vdots & \vdots & \ddots & \vdots \\ h_{N_R, 1}(t) & h_{N_R, 2}(t) & \dots & h_{N_R, N_T}(t) \end{bmatrix}$$

In this case:

$$r_i(t) = \sum_{j=1}^{N_T} h_{ij}(t) s_j(t) \quad i=1, 2, \dots, N_R$$

or

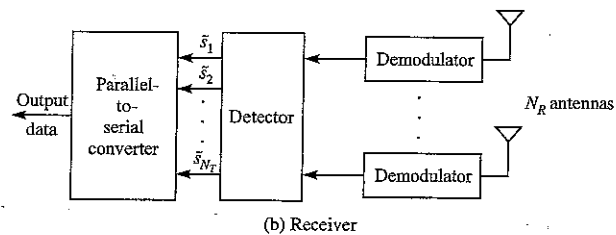
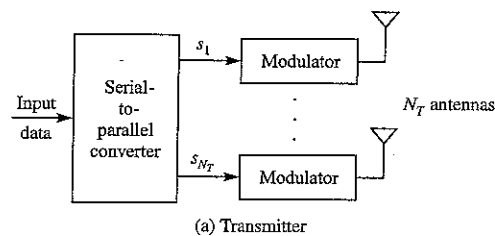
$$\underline{r}(t) = H(t) \underline{s}(t)$$

Furthermore, if the channel is slow fading, i.e., the impulse responses can be considered constant over a given time interval, say,  $0 \leq t \leq T$ :

$$\underline{r}(t) = \underline{H} \underline{s}(t) \quad 0 \leq t \leq T$$

### Signal transmission in MIMO

The input stream is divided into  $N_T$  sub-streams (demultiplexed) and each sent over one antenna.



A communication system with multiple transmitting and receiving antennas.

The received signal at the  $i$ -th antenna is:

$$r_i(t) = \sum_{j=1}^{N_T} s_j h_{ij} g(t) + z_i(t) \quad 0 \leq t \leq T$$

$$i = 1, 2, \dots, N_R$$

After demodulation (match filtering):

$$y_i = \sum_{j=1}^{N_T} s_j h_{ij} + \eta_i \quad i=1, 2, \dots, N_R.$$

or in matrix form

$$\underline{y} = \underline{H} \underline{s} + \underline{\eta}$$

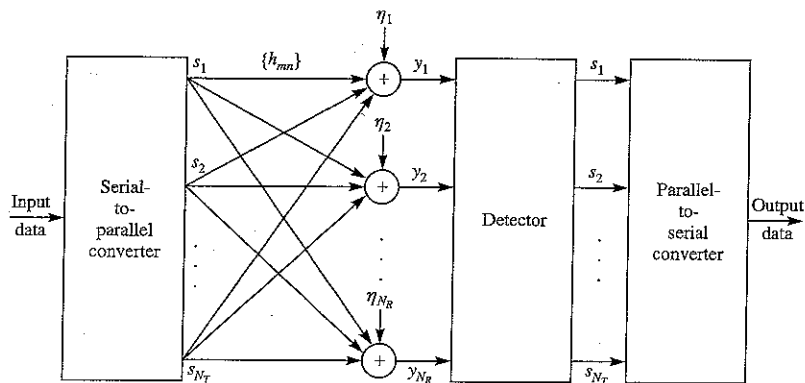
where  $\underline{y} = [y_1, y_2, \dots, y_{N_R}]^T$

$$\underline{s} = [s_1, s_2, \dots, s_{N_T}]^T$$

and

$$\underline{\eta} = [\eta_1, \eta_2, \dots, \eta_{N_R}]^T$$

$\underline{H}$  is the  $N_R \times N_T$  matrix of channel gains.



Discrete-time model of the communication system with multiple transmit and receive antennas in a frequency-nonselctive slow fading channel.

Detection of MIMO Signals:

- 1) Maximum-Likelihood Detector (MLD).
- 2) Linear Receivers:
  - MMSE Detector.
  - Inverse Channel Detector (ICD)
- 3) Non-linear detectors: Successive Cancellation.

Maximum-Likelihood Detector (MLD):

This is the optimum receiver and for a Gaussian MIMO channel, it means that we have to find  $\hat{\underline{s}}$  that minimizes:

$$ML(\underline{s}) = \sum_{i=1}^{N_R} \left| y_i - \sum_{j=1}^{N_T} h_{ij} s_j \right|^2$$

The complexity of MLD is high: grows exponentially with the number of transmit antennas ( $M^{N_T}$ ).

Minimum Mean Square-Error (MMSE) detector

Here, we linearly combine the outputs of matched filters to get an estimate of the transmitted signal and try to minimize

the mean-square-error between this estimate and the transmitted signal.

That is, we form:

$$\underline{\hat{s}} = \underline{W}^H \underline{y}$$

where  $\underline{W}^H$  is the conjugate transpose of the matrix  $\underline{W}$ : an  $N_R \times N_T$  weight matrix.

The objective is to minimize:

$$J(\underline{W}) = E[\|\underline{e}\|^2] = E[\|\underline{s} - \underline{W}^H \underline{y}\|^2]$$

This minimization results in:

$$\underline{W}_n = \underline{R}_{yy}^{-1} \underline{r}_{s_n}$$

where  $\underline{R}_{yy} = E[\underline{y} \underline{y}^H] = \underline{H} \underline{R}_{ss} \underline{H}^H + N_0 \underline{I}$  is the  $N_R$  by  $N_R$  autocorrelation matrix of the received signal  $\underline{y}$ ,

$$\underline{R}_{ss} = E[\underline{s} \underline{s}^H], \quad \underline{r}_{s_n} = E[s_n^* \underline{y}] \text{ and}$$

~~and~~  $E[\underline{\eta} \underline{\eta}^H] = N_0 \underline{I}.$

When, the signal vector has un-correlated zero-mean components  $\underline{R}_{ss}$  is a diagonal matrix.

Each component of  $\underline{\hat{s}}$  is quantized to the nearest

Symbol value.

Inverse Channel Detector (ICD).

This is a zero-forcing approach. Here also an estimate of  $\underline{s}$  is formed by linearly combining the received signals. When the number of transmit and receive antennas are equal ( $N_R = N_T$ ), we set  $W^H = H^{-1}$  so that the inter-channel interference is completely eliminated:

$$\underline{\hat{s}} = H^{-1} \underline{y} = \underline{s} + H^{-1} \underline{n}.$$

When  $N_R > N_T$ , we have

$$W^H = (H^H H)^{-1} H^H$$

That is,  $W$  is the pseudo-inverse of the channel matrix  $H$ .

Following figures show the performance of MLD and MMSE (and ICD) for

$(N_R = 2, N_T = 2)$  and  $(N_R = 2, N_e = 3)$ . It can be seen that using MMSE (or ICD) one

degree of diversity is lost, i.e.,  $N_R = N_T = 2$   
with MMSE has the diversity of  $N_R = 1, N_T = 1$ ,  
and <sup>for</sup> with  $N_T = 2, N_R = 3$  one gets the diversity  
of  $(1, 2)$ , i.e., the diversity of  $N_R - 1$ .

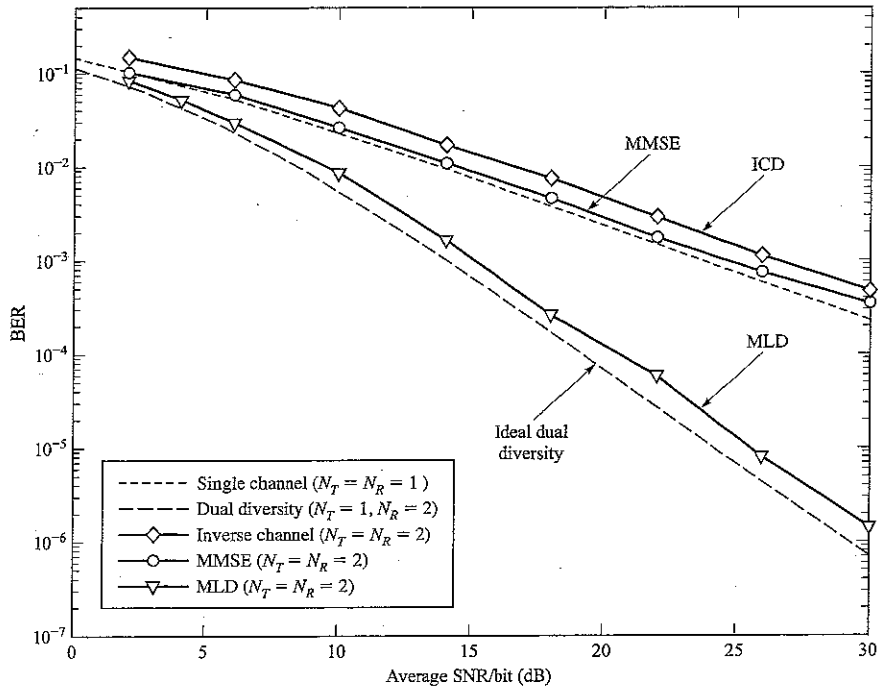
With MLD, one has the same diversity as  
 $(1, N_R)$ .

In general for an  $(N_T, N_R)$  system, MLD  
achieves <sup>order</sup>  $N_R$  diversity while MMSE  
achieves an order of  $N_R - N_T + 1$  diversity  
when  $N_R \geq N_T$ . The reason is that a linear  
detector uses  $N_T - 1$  degree of freedom to  
cancel interference from  $N_T - 1$  interfering  
transmit antennas and, therefore is left with  
 $N_R - (N_T - 1) = N_R - N_T + 1$  degrees of freedom.

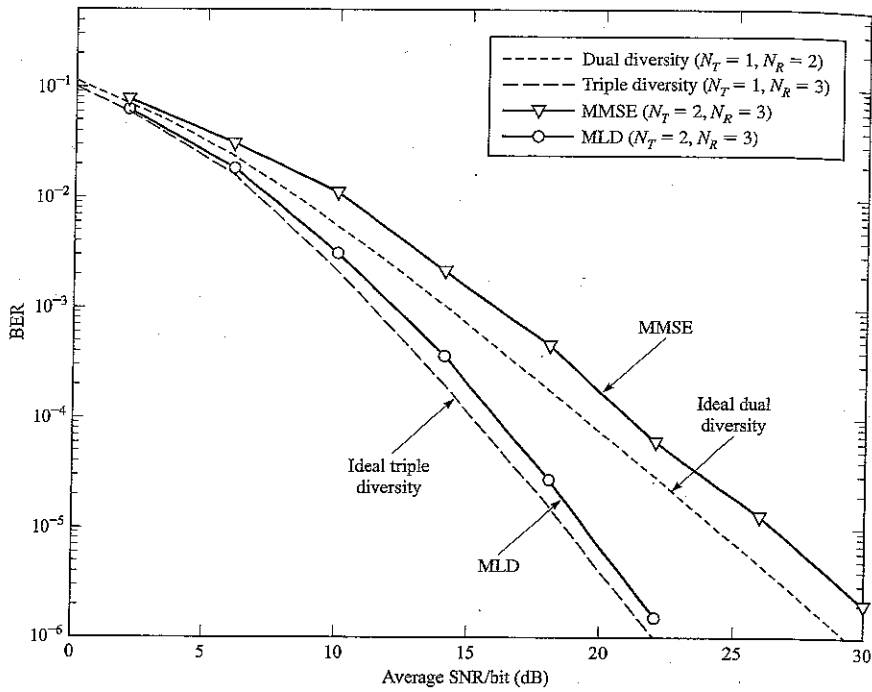
Complexity:

MLD has a complexity of  $M^{N_T}$ , while  
The linear detectors' complexity grows with  
as  $N_T$  and  $N_R$ . For small  $N_T$  and  $M$ , say  
 $N_T \leq 4$  and  $M = 4$ , MLD is feasible.





Performance of MLD, MMSE, and inverse channel detectors with  $N_R = 2$  receiving antennas.



Performance of MLD and MMSE detectors with  $N_R = 3$  receiving antennas.

## MIMO signaling when the channel is known at the transmitter

If  $\underline{H}$  is known at the transmitter, we use Singular Value decomposition (SVD) to write  $\underline{H}$  as:

$$\underline{H} = \underline{U} \underline{\Sigma} \underline{V}^H$$

where  $\underline{U}$  is an  $N_R$  by  $R$  matrix,  $\underline{V}$  is an  $N_T$  by  $R$  matrix and  $\underline{\Sigma}$  is an  $R$  by  $R$  diagonal matrix and  $\underline{U}$  and  $\underline{V}$  are orthonormal, i.e.,  $\underline{U}^H \underline{U} = \underline{I}_R$  and  $\underline{V}^H \underline{V} = \underline{I}_R$ .

At the transmitter the signal  $\underline{s}$  is linearly transformed as:

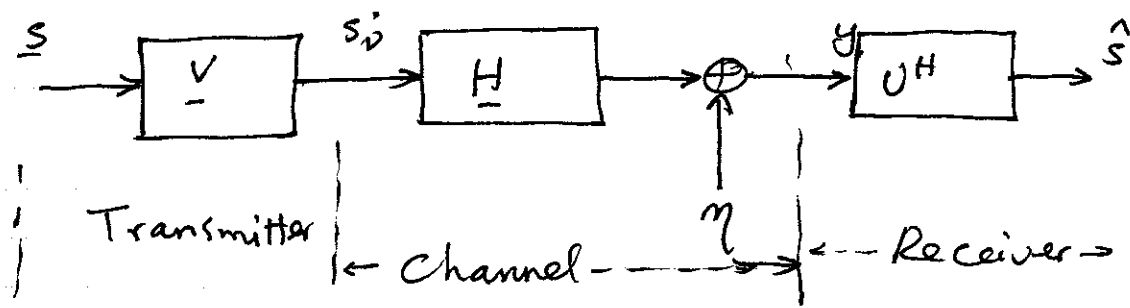
$$\underline{s}_v = \underline{V} \underline{s}$$

Then the received signal will be:

$$\underline{y} = \underline{H} \underline{s}_v + \underline{\eta} = \underline{H} \underline{V} \underline{s} + \underline{\eta}$$

At the receiver, we use the linear transformation  $\underline{U}^H$ , i.e.

$$\begin{aligned} \hat{\underline{s}} &= \underline{U}^H \underline{y} = \underline{U}^H \underline{H} \underline{V} \underline{s} + \underline{U}^H \underline{\eta} \\ &= \underline{U}^H (\underline{U} \underline{\Sigma} \underline{V}^H) \underline{V} \underline{s} + \underline{U}^H \underline{\eta} = \underline{\Sigma} \underline{s} + \underline{U}^H \underline{\eta}. \end{aligned}$$



It is seen that the elements of received signal have become decorrelated and can be detected individually.

### Capacity of MIMO channels:

$H$  can be written as

$$H = U \Sigma V^H$$

where  $U$  is  $N_R \times R$ ,  $\Sigma$  is  $R \times R$  and  $V$  is  $N_T \times R$  matrix.  $R$  is the rank of matrix  $H$ .

$U$  and  $V$  are orthonormal.  $\Sigma$  has diagonal values  $\sigma_1, \sigma_2, \dots, \sigma_R$ . These are called singular values of  $H$  (in case of a full-rank square matrix eigen-values).  $H$  can be

written as

$$H = \sum_{i=1}^R \sigma_i u_i v_i^H$$

where  $\{u_i\}$  and  $\{v_i\}$  are columns of vectors of

$\underline{U}$  and  $\underline{V}$ , respectively,

$HH^H$  is an  $N_R \times N_R$  matrix and can be written as:

$$HH^H = Q \Lambda Q^H.$$

where  $Q^H Q = I_{N_R}$  and  $\Lambda$  is an  $N_R \times N_R$  diagonal matrix with elements  $\lambda_i$ ,  $i=1, \dots, N_R$ .  $\lambda_i$ 's are the eigenvalues of  $HH^H$ , given by

$$\lambda_i = \begin{cases} \sigma_i^2 & i=1, 2, \dots, R \\ 0 & i=R+1, \dots, N_R \end{cases}$$

where  $\sigma_i$  are singular values of  $H$ .

The Frobenius norm of  $H$  is defined as,

$$\begin{aligned} \|H\|_F &= \sqrt{\sum_{i=1}^{N_R} \sum_{j=1}^{N_T} |h_{ij}|^2} \\ &= \sqrt{\text{trace}(HH^H)} \\ &= \sqrt{\sum_{i=1}^{N_R} \lambda_i} \end{aligned}$$

When  $\{h_{ij}\}$  are i.i.d. with unit variance

$\|H\|_F^2$  is a Chi-square variable with  $2N_T N_R$

degrees of freedom with distribution

$$P(x) = \frac{x^{n-1}}{(n-1)!} e^{-x} \quad x \geq 0$$

## Capacity of flat Deterministic MIMO channel

Consider an AWGN channel with matrix  $H$ .

Then

$$\underline{y} = H \underline{s} + \underline{n}$$

Capacity is defined as:

$$C = \max_{p(\underline{s})} I(\underline{y}; \underline{s})$$

It can be shown (Telatar 1999) that:

$$\underline{C} = \max_{\text{trace}(R_{ss}) = E_s} \log_2 \det \left( I_{N_R} + \frac{1}{N_0} H R_{ss} H^H \right) \text{ bps/Hz.}$$

When the signals on  $N_T$  transmit antennas are statistically independent with energy  $\frac{E_s}{N_T}$ , we

have

$$R_{ss} = \frac{E_s}{N_T} I_{N_T}$$

and

$$C = \log_2 \det \left( I_{N_R} + \frac{E_s}{N_T N_0} H H^H \right), \text{ bps/Hz.}$$

Letting  $H H^H = Q \Lambda Q^H$ , we have

$$\begin{aligned} C &= \log_2 \det \left( I_{N_R} + \frac{E_s}{N_T N_0} Q \Lambda Q^H \right) \\ &= \log_2 \det \left( I_{N_R} + \frac{E_s}{N_T N_0} Q Q^H \Lambda \right) \\ &= \log_2 \det \left( I_{N_R} + \frac{E_s}{N_T N_0} \Lambda \right) = \sum_{i=1}^R \log_2 \left( 1 + \frac{E_s}{N_T N_0} \lambda_i \right) \end{aligned}$$

For SISO,  $R=1$  and  $\lambda_1 = |h_{11}|^2$ , so:

$$C_{\text{SISO}} = \log_2 \left( 1 + \frac{E_s}{N_0} |h_{11}|^2 \right).$$

For SIMO (single-input multiple-output),

$$\lambda_1 = \|h\|_F^2 = \sum_{i=1}^{N_R} |h_{i1}|^2 \quad \underline{h} = [h_{11}, h_{21}, \dots, h_{N_R,1}]^T$$

$$\begin{aligned} C_{\text{SIMO}} &= \log_2 \left( 1 + \frac{E_s}{N_0} \|h\|_F^2 \right) \\ &= \log_2 \left( 1 + \frac{E_s}{N_0} \sum_{i=1}^{N_R} |h_{i1}|^2 \right) \end{aligned}$$

For a MISO channel:

$$\underline{h} = [h_{11}, h_{12}, \dots, h_{1, N_T}]^T \text{ and}$$
$$\lambda_1 = \|h\|_F^2 = \sum_{i=1}^{N_T} |h_{1i}|^2$$

$$\begin{aligned} C_{\text{MISO}} &= \log_2 \left( 1 + \frac{E_s}{N_T N_0} \|h\|_F^2 \right) \\ &= \log_2 \left( 1 + \frac{E_s}{N_T N_0} \sum_{i=1}^{N_T} |h_{1i}|^2 \right) \end{aligned}$$

It is seen from the above formulas that for the same Frobenius norm capacity of SIMO (receiver antenna diversity) is higher than that of MISO. The reason is that in MISO transmitted power is divided between  $N_T$

antennas while in SIMO it is transmitted from a single antenna.

The ergodic capacity of flat (frequency-nonselective) MIMO channel.

$$\bar{C}_{\text{MIMO}} = E \left[ \log_2 \det \left[ \mathbf{I} + \frac{E_s}{N_r N_0} \mathbf{H} \mathbf{R}_{ss}^* \mathbf{H}^H \right] \right]$$

where expectation is taken over all realization of  $\mathbf{H}$  and  $\mathbf{R}_{ss}^*$  is the autocorrelation matrix of the maximizing signal vector.

In the case of SIMO for any realization of the channel, we have

$$\begin{aligned} C_{\text{SIMO}} &= \log_2 \left( 1 + \frac{E_s}{N_0} \sum_{i=1}^{N_r} |h_{ii}|^2 \right) \\ &= \log_2 \left( 1 + \frac{E_s}{N_0} X \right) \end{aligned}$$

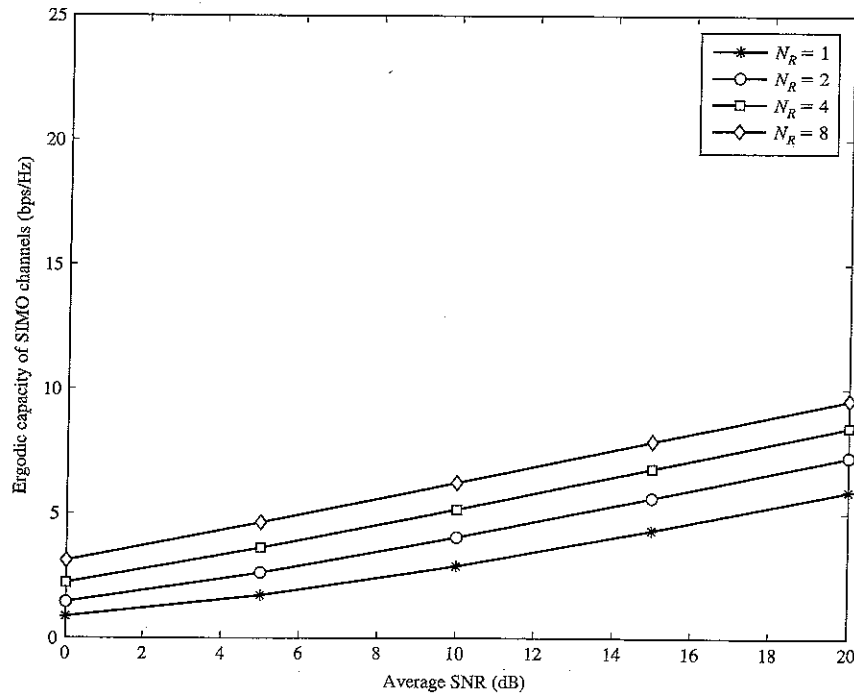
where  $X = \sum_{i=1}^{N_r} |h_{ii}|^2$  is a  $\chi^2$  random variable with  $2N_r$  degrees of freedom.

So,

$$\begin{aligned} \bar{C}_{\text{SIMO}} &= E \left[ \log_2 \left( 1 + \frac{E_s}{N_0} \sum_{i=1}^{N_r} |h_{ii}|^2 \right) \right] \\ &= \int_0^{\infty} \log_2 \left( 1 + \frac{E_s}{N_0} X \right) p(X) dX \quad \text{bps/Hz.} \end{aligned}$$

where  $p(x)$  is the pdf of the variable  $x$ .

The figure below shows the capacity of SIMO for different SNR.



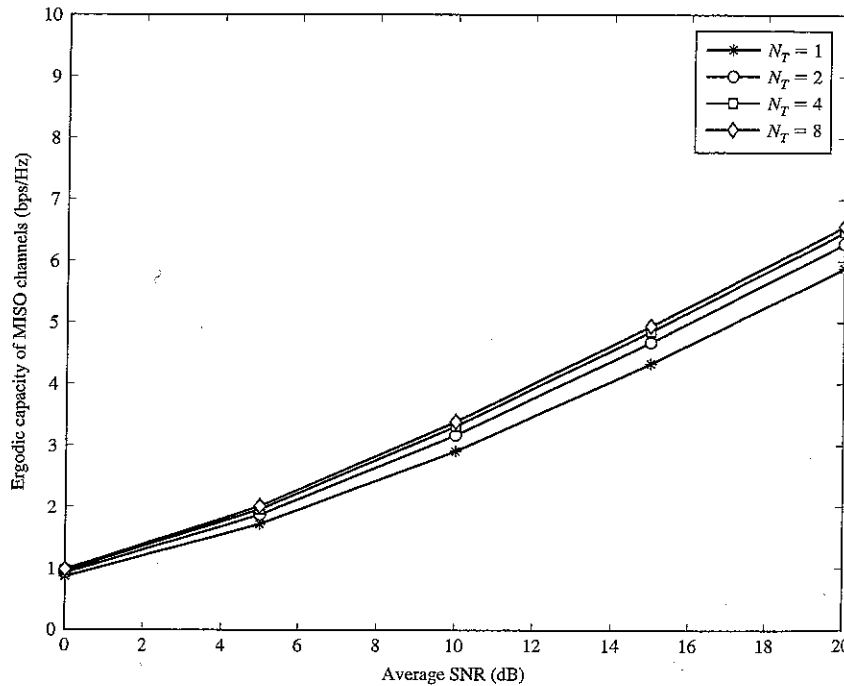
Ergodic capacity of SIMO channels.

For MISO channels:

$$\begin{aligned} \bar{C}_{\text{MISO}} &= E \left[ \log_2 \left( 1 + \frac{E_s}{N_T N_0} \sum_{j=1}^{N_T} |h_{ij}|^2 \right) \right] \\ &= \int_0^{\infty} \log_2 \left( 1 + \frac{E_s}{N_T N_0} x \right) p(x) dx \quad \text{bps/Hz.} \end{aligned}$$

The ergodic capacity MISO for  $N_T = 2, 4$  and  $8$  is depicted in the following figure for different SNR.





Ergodic capacity of MISO channels.

For the general case (MIMO):

$$\begin{aligned} \bar{C}_{\text{MIMO}} &= \mathbb{E} \left[ \sum_{i=1}^R \log_2 \left( 1 + \frac{E_s}{N_0 N_T} \lambda_i \right) \right] \\ &= \int_0^\infty \dots \int_0^\infty \left[ \sum_{i=1}^R \log_2 \left( 1 + \frac{E_s}{N_0 N_T} \lambda_i \right) \right] p(\lambda_1, \dots, \lambda_R) d\lambda_1 \dots d\lambda_R \end{aligned}$$

For the case where the elements of  $\underline{H}$  are complex-valued zero-mean Gaussian with unit variance and  $N_R = N_T = N$ , the pdf of  $\{\lambda_i\}$  is:

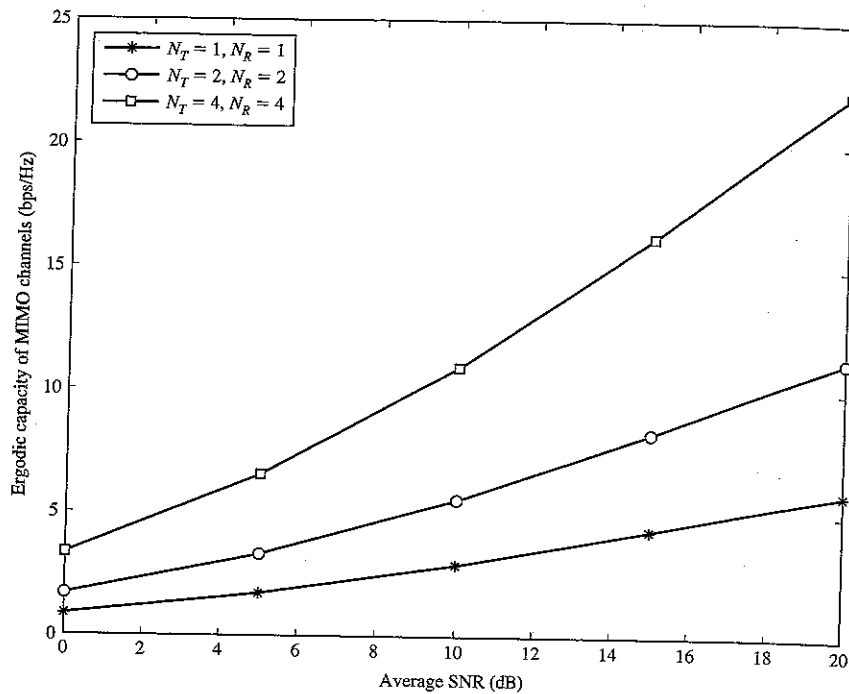
$$p(\lambda_1, \dots, \lambda_N) = \frac{\left(\frac{\pi}{2}\right)^{N(N-1)}}{[\Gamma_N(N)]^2} \exp\left[-\sum_{i=1}^N \lambda_i\right] \prod_{\substack{i,j \\ i < j}} (2\lambda_i - 2\lambda_j) \prod_{i=1}^N \Gamma(\lambda_i)$$

7-17

where

$$\Gamma_N(N) = \pi^{N(N-1)/2} \prod_{i=1}^N (N-i)!$$

Figure below shows the  $\bar{C}_{\text{MIMO}}$  versus SNR for  $N_R = N_T = 2$  and  $N_R = N_T = 4$



Ergodic capacity of MIMO channels.

### Outage Capacity of MIMO Channel:

When the channel is ergodic, the average capacity found above serves as a measure of the bit rate efficiency limit in the Shannon's sense. In the case of non-ergodic channel, we choose a realization and we have a capacity

which may be lower or higher the ergodic (average) capacity. In such a case, ~~we~~ the use of outage concept is useful.

In this case we pick a given (acceptable in a sense) outage probability. Then,

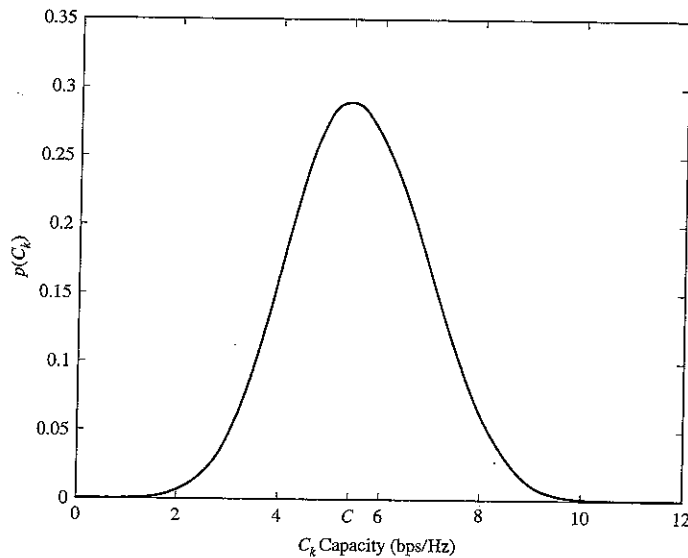
$$P(C \leq C_p) = P_{out}$$

gives us a number  $C_p$  which is a capacity that we ~~cannot~~ exceed  $(1 - P_{out})\%$  of the time. That is  $P_{out}\%$  of the times we have to live with a channel with a capacity lower than  $C_p$ .  $C_p$  is called the  $P_{out}\%$  outage capacity of the channel.

For each channel realization, i.e., for any given  $H$ , we have a capacity for a given SNR. This capacity when computed for various realizations of  $H$ , will have a CDF:

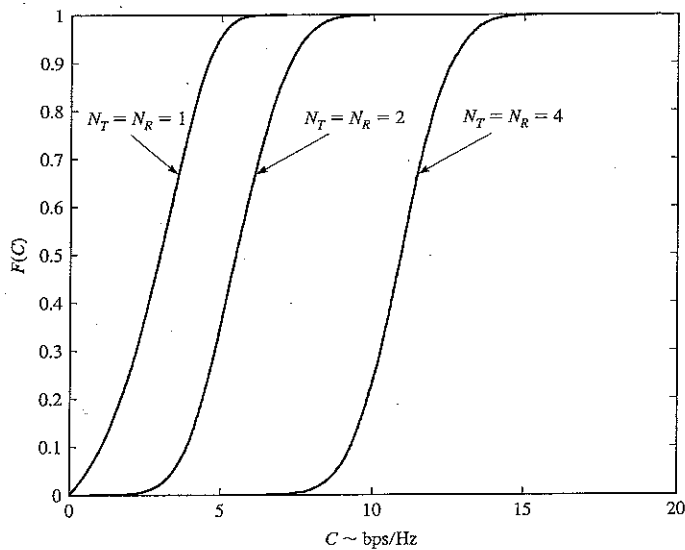
$$F(C) = P(C_k \leq C)$$

For example, for  $N_T = N_R = 2$ , the pdf of the capacity is given as:



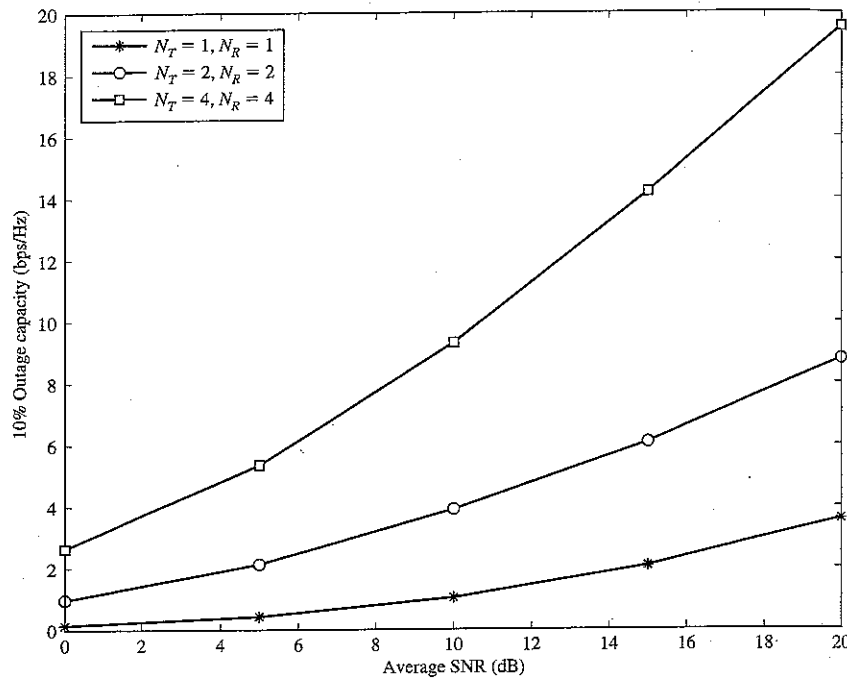
Probability density function of channel capacity for an  $N_T = N_R = 2$  MIMO channel at  $\text{SNR} = 10$  dB.

Given the probability densities (pdf) such as the one above, we can find the CDF. The figure below shows the CDF of  $C$  for  $N_T = N_R = 2$  and  $N_T = N_R = 4$ .



CDF of MIMO channel capacity at  $\text{SNR} = 10$  dB.

The CDF's like the one above give the outage capacity for a given SNR. The outage capacities for a given  $P_{out}$  can be collected for various SNR and plotted as below.



10% Outage capacity of MIMO channels.

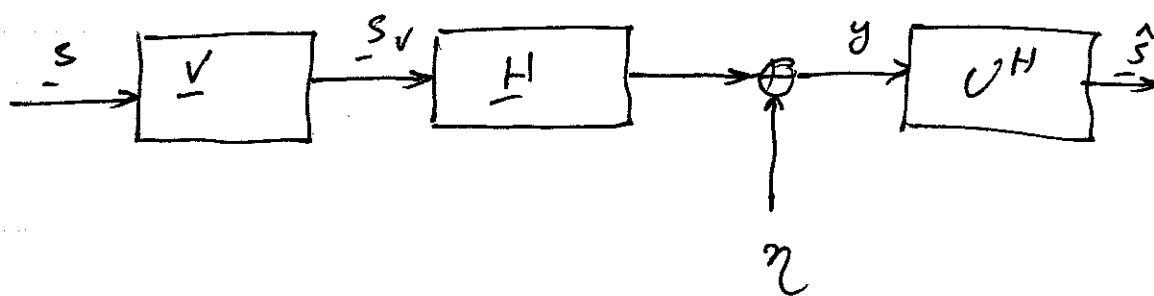
### Capacity of MIMO when CSI is available at the transmitter:

In the above discussion, we ~~only~~ assumed that the channel's specific realization is only known at the receiver. In such a case, it is natural that the transmitter allocate the

Same power to the antenna elements.

In the case where the transmitter also knows the channel condition, it can better allocate its available power thus achieving a higher capacity.

As we saw earlier, in order to simplify detection, the transmitter transforms its signal vector  $\underline{s}$  by multiplying it by matrix  $\underline{V}$  and the receiver transforms its received signal by operating  $\underline{U}^H$  on it.



Here  $H = \underline{U} \underline{\Sigma} \underline{V}^H$  where  $\underline{U}$  and  $\underline{V}$  are orthonormal matrices and  $\underline{\Sigma}$  is a diagonal matrix with its diagonal elements being the singular values of  $H$ . We have:

$$E[\underline{s}^H \underline{s}] = \sum_{i=1}^R E[|s_i|^2] = \sum_{i=1}^R \sigma_{i_s}^2 = N_T$$

where  $\sigma_{is}^2$  is the variance of the signal assigned to  $i$ -th antenna.

The received vector is

$$\underline{y} = \sqrt{\frac{E_s}{N_T}} H V_s \underline{s} + \underline{\eta} = \sqrt{\frac{E_s}{N_T}} \underline{U} \underline{\Sigma} \underline{s} + \underline{\eta}$$

after transformation by  $U^H$  we get:

$$\begin{aligned} \underline{y}' &= U^H \underline{y} = \sqrt{\frac{E_s}{N_T}} \underline{\Sigma} \underline{s} + U^H \underline{\eta} \\ &= \sqrt{\frac{E_s}{N_T}} \underline{\Sigma} \underline{s} + \underline{\eta}' \end{aligned}$$

or

$$y'_i = \sqrt{\frac{E_s \lambda_i}{N_T}} s_i + \eta'_i \quad i=1, 2, \dots, R.$$

usually  $R = \min(N_T, N_R)$ .

So, the capacity is ~~def~~ given as:

$$C(\{\sigma_{is}^2\}) = \sum_{i=1}^R \log_2 \left( 1 + \frac{E_s \lambda_i}{N_T N_0} \sigma_{is}^2 \right)$$

for a given power allocation  $\{\sigma_{is}^2\}$ .

It is natural that the transmitter try to

optimize the capacity by proper power allocation,

say, by selecting  $\{\sigma_{is}^2\}$  using water filling. Then

$$C = \max_{\{\sigma_{is}^2\}} \sum_{i=1}^R \log_2 \left( 1 + \frac{E_s \lambda_i}{N_T N_0} \sigma_{is}^2 \right)$$

Now to find the ergodic capacity, we can get expectation with respect to  $\{\lambda_i\}$ , i.e., different channel realizations  $H$ .

So:

$$\bar{C} = E \left[ \max_{\{\sigma_{is}^2\}} \sum_{i=1}^R \log_2 \left( 1 + \frac{E_s \lambda_i}{N_T N_0} \sigma_{is}^2 \right) \right]$$

Multiplexing gain versus Diversity gain:

Use of multiple antennas at the transmitter and/or receiver, provides with multiple sub-channels between transmit and receive sites. This diversity can be used for either sending more information (this is called the multiplexing gain) or sending the data more reliably (diversity gain).

Take for example a MISO system with  $N_T$  transmit antennas and  $N_R = 1$  receive antenna.

Signals  $s_1, s_2, \dots, s_{N_T}$  are coded (spread) using CDMA codes  $c_1, \dots, c_{N_T}$  and sent over the channel. The received signal is:



$$y = \sum_{i=1}^{N_T} s_i c_i h_i + \eta$$

after decorrelating (de-spreading), we have:

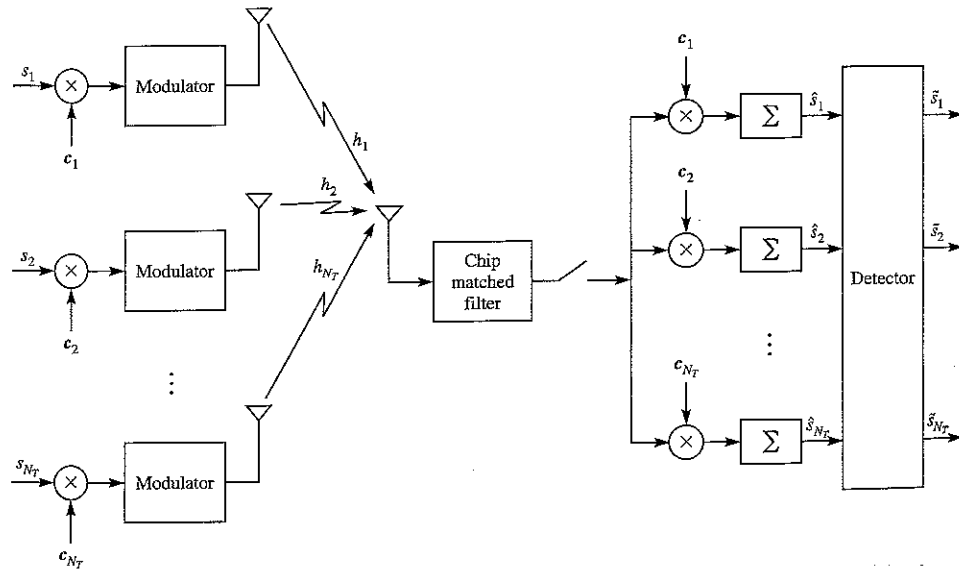
$$y_i = \sqrt{\frac{E_s}{N_T}} s_i h_i + \eta_i \quad i=1, 2, \dots, N_T$$

The receiver makes decision individually for each stream  $y_i$  by calculating  $y_i h_i^*$ .

We have here a multiplexing gain of  $N_T$  but no diversity gain. But if we send the same data over all  $N_T$  antennas each spread with a different code, we have a diversity gain of  $N_T$  (using maximal ratio combining). In this case we do not have any multiplexing gain.

We can ~~also~~ have some other case where we send one data stream over two antennas and one stream on each of the remaining or some other arrangement. In general a MIMO system can have between 1 and  $\min(N_R, N_T)$

multiplexing gain and between  $N_R$  and  $N_T N_R$   
diversity gain.



MISO system with spread spectrum signals.

## Space-time Coding

MIMO allows the user to transmit several data streams on different antenna elements. One can use part of the extra <sup>throughput</sup> ~~capacity~~ to improve the reliability by encoding each stream, i.e., doing temporal coding as is usual in SISO system.

On the other hand, we can send data symbols related to the same stream on more than one antenna. That is we can use ~~to~~ spatial redundancy. This is called space-time coding.

Tarokh et al. developed the idea of space-time coding and used Trellis Codes to code across elements (STTC). Later a simple block coding scheme for a (2,1) ~~MISO~~ MISO channel proposed by Alamouti created considerable interest in space-time

block codes (STBC).

We here briefly describe Alamouti's scheme. STBC codes for larger number of antennas are covered in the text.

You may also refer to papers by Tarokh and others.

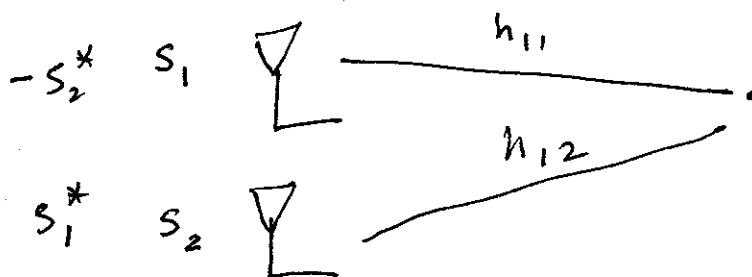
### Alamouti STBC

In 1998, Alamouti proposed a scheme for an  $N_T = 2$  and  $N_R = 1$  MISO channel.

The generator matrix for Alamouti code is

$$G = \begin{bmatrix} s_1 & s_2 \\ -s_2^* & s_1^* \end{bmatrix}$$

That is, two consecutive  $s_1$  and  $s_2$  are transmitted each on one of the elements in one time instant and  $-s_2^*$  and  $s_1^*$  are transmitted next time instant



Where  $H = [h_{11}, h_{12}]$  is the channel matrix. At the receiver,

$$y_1 = h_{11} s_1 + h_{12} s_2 + n_1$$

$$y_2 = -h_{11} s_2^* + h_{12} s_1^* + n_2$$

We can write

$$\begin{bmatrix} y_1 \\ y_2^* \end{bmatrix} = \begin{bmatrix} h_{11} & h_{12} \\ h_{12}^* & -h_{11}^* \end{bmatrix} \begin{bmatrix} s_1 \\ s_2 \end{bmatrix} + \begin{bmatrix} n_1 \\ n_2^* \end{bmatrix}$$

Let

$$\begin{bmatrix} \hat{s}_1 \\ \hat{s}_2 \end{bmatrix} = \begin{bmatrix} h_{11} & h_{12} \\ h_{12}^* & -h_{11}^* \end{bmatrix} \begin{bmatrix} y_1 \\ y_2^* \end{bmatrix}$$

to get

$$\begin{bmatrix} \hat{s}_1 \\ \hat{s}_2 \end{bmatrix} = \begin{bmatrix} h_{11}^* & h_{12} \\ h_{12}^* & -h_{11} \end{bmatrix} \begin{bmatrix} h_{11} & h_{12} \\ h_{12}^* & -h_{11} \end{bmatrix} \begin{bmatrix} s_1 \\ s_2 \end{bmatrix} +$$

$$+ \begin{bmatrix} h_{11} & h_{12} \\ h_{12}^* & -h_{11} \end{bmatrix} \begin{bmatrix} n_1 \\ n_2 \end{bmatrix}$$

$$= \begin{bmatrix} |h_{11}|^2 + |h_{12}|^2 & 0 \\ 0 & |h_{11}|^2 + |h_{12}|^2 \end{bmatrix} \begin{bmatrix} s_1 \\ s_2 \end{bmatrix} + \begin{bmatrix} n_1' \\ n_2' \end{bmatrix}$$