

COMPILER DESIGN

Syntactic analysis – part II

First and follow sets

Recursive descent and table-driven predictive parsing

Generating FIRST and FOLLOW sets

Generating FIRST sets

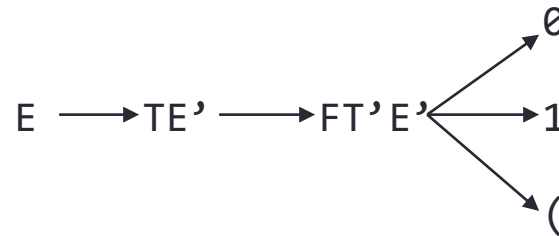
- If $\alpha \xRightarrow{*} \beta$, where β begins with a terminal symbol x , then $x \in \text{FIRST}(\alpha)$.
- Algorithmic definition:

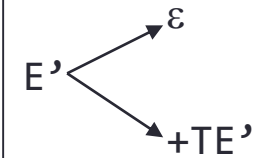
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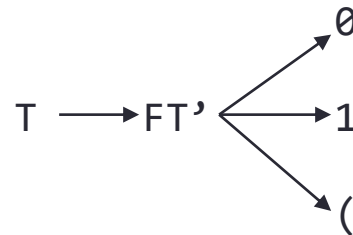
FIRST(A) =
1. if ( (A ∈ T) ∨ (A is ε) )
   then FIRST(A) = {A}
2. if ( (A ∈ N) ∧ (A → S1S2...Sk ∈ R) | Si ∈ (N ∪ T) )
   then 2.1. FIRST(A) ⊇ (FIRST(S1) - {ε})
        2.2. if ∃ i < k (ε ∈ FIRST(S1), ..., FIRST(Si) )
            then FIRST(A) ⊇ FIRST(Si+1)
        2.3. if (ε ∈ FIRST(S1), ..., FIRST(Sk) )
            then FIRST(A) ⊇ {ε}
  
```

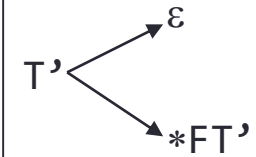
- Or, generate the **lookahead tree** for A

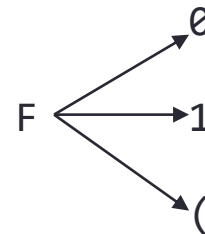
Example: lookahead trees

$$\begin{array}{l}
 E \rightarrow TE' \\
 E' \rightarrow \varepsilon \mid +TE' \\
 T \rightarrow FT' \\
 T' \rightarrow \varepsilon \mid *FT' \\
 F \rightarrow (E) \mid \emptyset \mid 1
 \end{array}$$


$$\text{FIRST}(E) = \{\emptyset, 1, (\}$$


$$\text{FIRST}(E') = \{\varepsilon, +\}$$


$$\text{FIRST}(T) = \{\emptyset, 1, (\}$$


$$\text{FIRST}(T') = \{\varepsilon, *\}$$


$$\text{FIRST}(F) = \{\emptyset, 1, (\}$$

Generating the FOLLOW sets

- FOLLOW(A) is the set of terminals that can come right after an **A** in any sentential form derivable from the grammar of the language.
- Algorithmic definition:

```
FOLLOW( A | A ∈ N ) =  
1. if ( A == S )  
   then ( FOLLOW(A) ⊇ { $ } )  
2. if ( B → αAβ ∈ R )  
   then ( FOLLOW(A) ⊇ ( FIRST(β) - { ε } ) )  
3. if ( ( B → αAβ ∈ R ) ∧ ( β  $\xrightarrow{*}$  ε ) )  
   then ( FOLLOW(A) ⊇ FOLLOW(B) )
```

Example

$$\begin{aligned}
 E &\rightarrow TE' \\
 E' &\rightarrow \varepsilon \mid +TE' \\
 T &\rightarrow FT' \\
 T' &\rightarrow \varepsilon \mid *FT' \\
 F &\rightarrow (E) \mid \emptyset \mid 1
 \end{aligned}$$

$$\begin{aligned}
 \text{FST}(E) &: \{ \emptyset, 1, (\} \\
 \text{FST}(E') &: \{ \varepsilon, + \} \\
 \text{FST}(T) &: \{ \emptyset, 1, (\} \\
 \text{FST}(T') &: \{ \varepsilon, * \} \\
 \text{FST}(F) &: \{ \emptyset, 1, (\}
 \end{aligned}$$

$$\begin{aligned}
 \text{FLW}(E) &: \{ \$^1,)^4 \} & : \{ \$,) \} \\
 \text{FLW}(E') &: \{ \{ \$,) \}^6 \} & : \{ \$,) \} \\
 \text{FLW}(T) &: \{ \{ + \}^2, \{ \$,) \}^{5,7} \} & : \{ +, \$,) \} \\
 \text{FLW}(T') &: \{ \{ +, \$,) \}^9 \} & : \{ +, \$,) \} \\
 \text{FLW}(F) &: \{ \{ * \}^3, \{ +, \$,) \}^{8,10} \} & : \{ *, +, \$,) \}
 \end{aligned}$$

- | | | | | |
|-----------|-----------|--|---|----------------------------|
| 1. (1) : | : | (A == S) ⇒ (FLW(A) ⊇ '\$') | : | FLW(E) ⊇ { \$ } |
| 2. (2) : | E → TE' | (B → αAβ) ⇒ (FLW(A) ⊇ (FST(β) - {ε})) | : | FLW(T) ⊇ (FST(E') - {ε}) |
| | (2) : | E' → +TE' | : | FLW(T) ⊇ (FST(E') - {ε}) |
| 3. (2) : | T → FT' | (B → αAβ) ⇒ (FLW(A) ⊇ (FST(β) - {ε})) | : | FLW(F) ⊇ (FST(T') - {ε}) |
| | (2) : | T' → *FT' | : | FLW(F) ⊇ (FST(T') - {ε}) |
| 4. (2) : | F → (E) | (B → αAβ) ⇒ (FLW(A) ⊇ (FST(β) - {ε})) | : | FLW(E) ⊇ (FST()) - {ε} |
| 5. (3) : | E → TE' | ((B → αAβ) ∧ (ε ∈ FST(β))) ⇒ (FLW(A) ⊇ FLW(B)) | : | FLW(T) ⊇ FLW(E) |
| 6. (3) : | E → TE' | ((B → αAβ) ∧ (ε ∈ FST(β))) ⇒ (FLW(A) ⊇ FLW(B)) | : | FLW(E') ⊇ FLW(E) |
| 7. (3) : | E' → +TE' | ((B → αAβ) ∧ (ε ∈ FST(β))) ⇒ (FLW(A) ⊇ FLW(B)) | : | FLW(T) ⊇ FLW(E') |
| | (3) : | E' → +TE' | : | FLW(E') ⊇ FLW(E') |
| 8. (3) : | T → FT' | ((B → αAβ) ∧ (ε ∈ FST(β))) ⇒ (FLW(A) ⊇ FLW(B)) | : | FLW(F) ⊇ FLW(T) |
| 9. (3) : | T → FT' | ((B → αAβ) ∧ (ε ∈ FST(β))) ⇒ (FLW(A) ⊇ FLW(B)) | : | FLW(T') ⊇ FLW(T) |
| 10. (3) : | T' → *FT' | ((B → αAβ) ∧ (ε ∈ FST(β))) ⇒ (FLW(A) ⊇ FLW(B)) | : | FLW(F) ⊇ FLW(T') |
| | (3) : | T' → *FT' | : | FLW(T') ⊇ FLW(T') |

FOLLOW(A | A ∈ N) =

1. if (A == S)
then (FOLLOW(A) ⊇ { \$ })
2. if (B → αAβ ∈ R)
then (FOLLOW(A) ⊇ (FIRST(β) - {ε}))
3. if ((B → αAβ ∈ R) ∧ (β ⇒ ε))
then (FOLLOW(A) ⊇ FOLLOW(B))

Recursive descent predictive parsing

Method

- Build FIRST and FOLLOW sets
- For each non-terminal, we have a corresponding function
- In each function, for each possible right-hand-side of the corresponding productions, we have a possible path to follow.
- The choice is made according to the FIRST set of the right hand sides.
- If one of the alternatives is of the form $\mathbf{A} \rightarrow \varepsilon$, the path is followed according to the FOLLOW set of the left-hand-side of the production.
- If no valid path is found, the function returns *false*.
- If any of the paths is followed without error, the function return *true*.

Constructing the parser

- Main parser function is:

```
parse(){
    lookahead = nextToken()
    if (startSymbol() ^ match("$") ) return(true)
    else return(false)}
```

- function to match tokens with the lookahead symbol (next token in input):

```
match(token){
    if (lookahead == token)
        lookahead = nextToken(); return(true)
    else
        lookahead = nextToken(); return(false)}
```

Constructing the parser

- For each non-terminal in the grammar, we construct a parsing function. All parsing functions have the same form:

```
LHS(){  
    if (lookahead ∈ FIRST(RHS1) )  
        if (non-terminals() ∧ match(terminals) )  
            write("LHS→RHS1") ; return(true)  
        else return(false)  
    else if (lookahead ∈ FIRST(RHS2) )  
        if (non-terminals() ∧ match(terminals) )  
            write("LHS→RHS2") ; return(true)  
        else return(false)  
    else if ...  
    else if (lookahead ∈ FOLLOW(LHS) )  
        write("LHS→ε") ; return(true)  
    else  
        return(false)}
```

// LHS→RHS1 | RHS2 | ... | ε
// LHS→RHS1

// LHS→RHS2

// other right hand sides
// only if LHS→ε exists

Example

```
E → TE'  
E' → ε | +TE'  
T → FT'  
T' → ε | *FT'  
F → ( E ) | 0 | 1
```

```
E(){ // E→TE'  
    if (lookahead ∈ FIRST(TE') )  
        if (T() ∧ E'() )  
            write("E→TE' ") ; return(true)  
        else return(false)  
    else  
        return(false)}
```

Example

```
E → TE'  
E' → ε | +TE'  
T → FT'  
T' → ε | *FT'  
F → ( E ) | 0 | 1
```

```
E'(){ // E'→+TE' | ε  
    if (lookahead ∈ FIRST(+TE') )  
        if ( match('+') ∧ T() ∧ E'() )  
            write("E'→+TE' ") ; return(true)  
        else return(false)  
    else if (lookahead ∈ FOLLOW(E') )  
        write("E'→ε") ; return(true)  
    else  
        return(false)}
```

Example

```
E → TE'  
E' → ε | +TE'  
T → FT'  
T' → ε | *FT'  
F → ( E ) | 0 | 1
```

```
T(){ // T→FT'  
    if (lookahead ∈ FIRST(FT') )  
        if (F() ∧ T'() )  
            write("T→FT' ") ; return(true)  
        else return(false)  
    else  
        return(false)}
```

Example

```
E → TE'  
E' → ε | +TE'  
T → FT'  
T' → ε | *FT'  
F → ( E ) | 0 | 1
```

```
T'(){ // T'→*FT' | ε  
    if (lookahead ∈ FIRST(*FT') )  
        if ( match('*') ∧ F() ∧ T'() )  
            write("T'→*FT' ") ; return(true)  
        else return(false)  
    else if (lookahead ∈ FOLLOW(T') )  
        write("T'→ε") ; return(true)  
    else  
        return(false)}
```

Example

```

E → TE'
E' → ε | +TE'
T → FT'
T' → ε | *FT'
F → ( E ) | 0 | 1

```

```

F(){ // F→ 0 | 1 | (E)
  if (lookahead ∈ FIRST(0) )
    if ( match('0') )
      write("F→0") ; return(true)
    else return(false)
  else if (lookahead ∈ FIRST(1) )
    if ( match('1') )
      write("F→1") ; return(true)
    else return(false)
  else if (lookahead ∈ FIRST((E)) )
    if ( match('(') ∧ E() ∧ match(')') )
      write("F→(E)") ; return(true)
    else return(false)
  else
    return(false)}

```

Table-driven predictive parsing

Method

- Build FIRST and FOLLOW sets
- Build the parser table
- Implement the parser algorithm

Building the parsing table

- Algorithm:

- $\forall p : ((p \in R) \wedge (p : A \rightarrow \alpha))$
do steps 2 and 3
- $\forall t : ((t \in T) \wedge (t \in \text{FIRST}(\alpha)))$
add $A \rightarrow \alpha$ to $TT[A, t]$
- if $(\varepsilon \in \text{FIRST}(\alpha))$
 $\forall t : ((t \in T) \wedge (t \in \text{FOLLOW}(A)))$
add $A \rightarrow \alpha$ to $TT[A, t]$
- $\forall e : ((e \in TT) \wedge (e == \emptyset))$
add “error” to e

Building the parsing table

$$\begin{aligned} E &\rightarrow TE' \\ E' &\rightarrow \varepsilon \mid +TE' \\ T &\rightarrow FT' \\ T' &\rightarrow \varepsilon \mid *FT' \\ F &\rightarrow (E) \mid \emptyset \mid 1 \end{aligned}$$

$$\begin{aligned} \text{FST}(E) &: \{ \emptyset, 1, (\} \\ \text{FST}(E') &: \{ \varepsilon, + \} \\ \text{FST}(T) &: \{ \emptyset, 1, (\} \\ \text{FST}(T') &: \{ \varepsilon, * \} \\ \text{FST}(F) &: \{ \emptyset, 1, (\} \end{aligned}$$

$$\begin{aligned} \text{FLW}(E) &: \{ \$,) \} \\ \text{FLW}(E') &: \{ \$,) \} \\ \text{FLW}(T) &: \{ +, \$,) \} \\ \text{FLW}(T') &: \{ +, \$,) \} \\ \text{FLW}(F) &: \{ *, +, \$,) \} \end{aligned}$$

- $\forall p : ((p \in R) \wedge (p : A \rightarrow \alpha))$
do steps 2 and 3
- $\forall t : ((t \in T) \wedge (t \in \text{FIRST}(\alpha)))$
add $A \rightarrow \alpha$ to $\text{TT}[A, t]$
- if $(\varepsilon \in \text{FIRST}(\alpha))$
 $\forall t : ((t \in T) \wedge (t \in \text{FOLLOW}(A)))$
add $A \rightarrow \alpha$ to $\text{TT}[A, t]$
- $\forall e : ((e \in \text{TT}) \wedge (e == \emptyset))$
add “error” to e

$$\begin{aligned} r1: E &\rightarrow TE' &: \text{FIRST}(TE') &= \{ \emptyset, 1, (\} &: \text{TT}[E, \emptyset][E, 1][E, (] \\ r2: E' &\rightarrow +TE' &: \text{FIRST}(+TE') &= \{ + \} &: \text{TT}[E', +] \\ r3: E' &\rightarrow \varepsilon &: \text{FOLLOW}(E') &= \{ \$,) \} &: \text{TT}[E', \$][E',)] \\ r4: T &\rightarrow FT' &: \text{FIRST}(FT') &= \{ \emptyset, 1, (\} &: \text{TT}[T, \emptyset][T, 1][T, (] \\ r5: T' &\rightarrow *FT' &: \text{FIRST}(*FT') &= \{ * \} &: \text{TT}[T', *] \\ r6: T' &\rightarrow \varepsilon &: \text{FOLLOW}(T') &= \{ +, \$,) \} &: \text{TT}[T', +][T', \$][T',)] \\ r7: F &\rightarrow \emptyset &: \text{FIRST}(\emptyset) &= \{ \emptyset \} &: \text{TT}[F, \emptyset] \\ r8: F &\rightarrow 1 &: \text{FIRST}(1) &= \{ 1 \} &: \text{TT}[F, 1] \\ r9: F &\rightarrow (E) &: \text{FIRST}((E)) &= \{ (\} &: \text{TT}[F, (] \end{aligned}$$

Building the parsing table

| | |
|----------------------------------|----------------------------------|
| r1: $E \rightarrow TE'$ | r5: $T' \rightarrow *FT'$ |
| r2: $E' \rightarrow +TE'$ | r6: $T' \rightarrow \varepsilon$ |
| r3: $E' \rightarrow \varepsilon$ | r7: $F \rightarrow \emptyset$ |
| r4: $T \rightarrow FT'$ | r8: $F \rightarrow 1$ |
| | r9: $F \rightarrow (E)$ |

| | \emptyset | 1 | (|) | + | * | \$ |
|----|-------------|----|----|----|----|----|----|
| E | r1 | r1 | r1 | | | | |
| E' | | | | r3 | r2 | | r3 |
| T | r4 | r4 | r4 | | | | |
| T' | | | | r6 | r6 | r5 | r6 |
| F | r7 | r8 | r9 | | | | |

Parsing algorithm

```
parse(){
  push($)
  push(S)
  a = nextToken()
  while ( top() ≠ $ ) do
    x = top()
    if ( x ∈ T )
      if ( x == a )
        pop() ; a = nextToken()
      else
        skipErrors() ; error = true
    else
      if ( TT[x,a] ≠ 'error' )
        pop() ; inverseRHSMultiplePush(TT[x,a])
      else
        skipErrors() ; error = true
  if ( ( a ≠ $ ) ∨ ( error == true ) )
    return(false)
  else
    return(true)}
```

*: **skipErrors()** will be explained in lecture 5

Table parsing example

| | Stack | Input | Production | Derivation |
|----|--------------|-----------|---------------|---------------|
| 1 | \$E | (0+1)*0\$ | | E |
| 2 | \$E | (0+1)*0\$ | r1: E → TE' | ⇒ TE' |
| 3 | \$E'T | (0+1)*0\$ | r4: T → FT' | ⇒ FT'E' |
| 4 | \$E'T'F | (0+1)*0\$ | r9: F → (E) | ⇒ (E)T'E' |
| 5 | \$E'T')E(| (0+1)*0\$ | | |
| 6 | \$E'T')E | 0+1)*0\$ | r1: E → TE' | ⇒ (TE')T'E' |
| 7 | \$E'T')E'T | 0+1)*0\$ | r4: T → FT' | ⇒ (FT'E')T'E' |
| 8 | \$E'T')E'T'F | 0+1)*0\$ | r7: F → 0 | ⇒ (0T'E')T'E' |
| 9 | \$E'T')E'T'0 | 0+1)*0\$ | | |
| 10 | \$E'T')E'T' | +1)*0\$ | r6: T' → ε | ⇒ (0E')T'E' |
| 11 | \$E'T')E' | +1)*0\$ | r2: E' → +TE' | ⇒ (0+TE')T'E' |
| 12 | \$E'T')E'T+ | +1)*0\$ | | |

Table parsing example

| | Stack | Input | Production | Derivation |
|----|----------------|------------|------------------------------|---------------------------------------|
| 13 | $\$E'T')E'T$ | $1)*0\$$ | $r4:T \rightarrow FT'$ | $\Rightarrow (\emptyset + FT'E')T'E'$ |
| 14 | $\$E'T')E'T'F$ | $1)*0\$$ | $r8:F \rightarrow 1$ | $\Rightarrow (\emptyset + 1T'E')T'E'$ |
| 15 | $\$E'T')E'T'1$ | $1)*0\$$ | | |
| 16 | $\$E'T')E'T'$ | $) * 0 \$$ | $r6:T' \rightarrow \epsilon$ | $\Rightarrow (\emptyset + 1E')T'E'$ |
| 17 | $\$E'T')E'$ | $) * 0 \$$ | $r3:E' \rightarrow \epsilon$ | $\Rightarrow (\emptyset + 1)T'E'$ |
| 18 | $\$E'T')$ | $) * 0 \$$ | | |
| 19 | $\$E'T'$ | $*0\$$ | $r5:T' \rightarrow *FT'$ | $\Rightarrow (\emptyset + 1)*FT'E'$ |
| 20 | $\$E'T'F*$ | $*0\$$ | | |
| 21 | $\$E'T'F$ | $0\$$ | $r7:F \rightarrow 0$ | $\Rightarrow (\emptyset + 1)*0T'E'$ |
| 22 | $\$E'T'0$ | $0\$$ | | |
| 23 | $\$E'T'$ | $\$$ | $r6:T' \rightarrow \epsilon$ | $\Rightarrow (\emptyset + 1)*0E'$ |
| 24 | $\$E'$ | $\$$ | $r3:E' \rightarrow \epsilon$ | $\Rightarrow (\emptyset + 1)*0$ |
| 25 | $\$$ | $\$$ | | success |